



QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2015
OF THE CONDITION AND AFFAIRS OF THE

The Health Plan of the Upper Ohio Valley, Inc.

NAIC Group Code 1297 , 1297 NAIC Company Code 95677 Employer's ID Number 55-0585592
(Current Period) (Prior Period)

Organized under the Laws of West Virginia , State of Domicile or Port of Entry West Virginia

Country of Domicile United States

Licensed as business type: Life, Accident & Health [] Property/Casualty [] Hospital, Medical & Dental Service or Indemnity []
 Dental Service Corporation [] Vision Service Corporation [] Health Maintenance Organization [X]
 Other [] Is HMO Federally Qualified? Yes [X] No []

Incorporated/Organized 08/08/1978 Commenced Business 11/01/1979

Statutory Home Office 52160 National Road E , St. Clairsville, OH, US 43950-9306
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 52160 National Road E St. Clairsville, OH, US 43950-9306 740-695-3585
(Street and Number) (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 52160 National Road E St. Clairsville, OH, US 43950-9306
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 52160 National Road E St. Clairsville, OH, US 43950-9306 740-695-3585
(Street and Number) (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Web Site Address www.healthplan.org

Statutory Statement Contact Jeffrey Michael Knight 740-695-3585
(Name) (Area Code) (Telephone Number) (Extension)

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(E-Mail Address) (FAX Number)

OFFICERS

Name	Title	Name	Title
<u>James M Pennington</u>	<u>President</u>	<u>Jeffrey M Knight</u>	<u>Treasurer</u>

OTHER OFFICERS

DIRECTORS OR TRUSTEES

<u>Robert Dunlevy</u>	<u>Jill L Hall</u>	<u>John D Holloway, MD</u>	<u>Frank D Joanou</u>
<u>Charles P Monfradi</u>	<u>James W Newton, PhD</u>	<u>James M Pennington</u>	<u>Edward P Polack, MD</u>
<u>John E Wright, IV</u>	<u>Nick P Zervos</u>	<u>Susan L Buchanan, CPA #</u>	<u>Mark D Lancellotti, CLU #</u>
<u>John T McDonald, CPA Jr. #</u>			

State of Ohio

County of Belmont ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

James M Pennington
President

Jeffrey M Knight
Treasurer

Subscribed and sworn to before me this _____ day of _____ November, 2015

a. Is this an original filing? Yes [X] No []

b. If no:

1. State the amendment number _____
2. Date filed 11/16/2015
3. Number of pages attached _____

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE The Health Plan of the Upper Ohio Valley, Inc.

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	115,211,833		115,211,833	107,721,791
2. Stocks:				
2.1 Preferred stocks			0	0
2.2 Common stocks	99,294,601	356,519	98,938,082	114,263,415
3. Mortgage loans on real estate:				
3.1 First liens			0	0
3.2 Other than first liens			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)	4,990,658		4,990,658	5,007,994
4.2 Properties held for the production of income (less \$ encumbrances)			0	0
4.3 Properties held for sale (less \$ encumbrances)			0	0
5. Cash (\$ 19,486,196), cash equivalents (\$ 0) and short-term investments (\$ 0)	19,486,196		19,486,196	16,877,089
6. Contract loans (including \$ premium notes)			0	0
7. Derivatives	0		0	0
8. Other invested assets	0		0	0
9. Receivables for securities			0	0
10. Securities lending reinvested collateral assets			0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	238,983,288	356,519	238,626,769	243,870,289
13. Title plants less \$ charged off (for Title insurers only)			0	0
14. Investment income due and accrued	580,430		580,430	468,830
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	5,375,662		5,375,662	6,510,793
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)			0	0
15.3 Accrued retrospective premiums	12,212		12,212	12,212
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	781,607		781,607	520,322
16.2 Funds held by or deposited with reinsured companies			0	0
16.3 Other amounts receivable under reinsurance contracts			0	0
17. Amounts receivable relating to uninsured plans	4,023,999		4,023,999	908,422
18.1 Current federal and foreign income tax recoverable and interest thereon			0	0
18.2 Net deferred tax asset			0	0
19. Guaranty funds receivable or on deposit			0	0
20. Electronic data processing equipment and software	569,261	262,591	306,670	263,631
21. Furniture and equipment, including health care delivery assets (\$)	593,249	593,249	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	0
23. Receivables from parent, subsidiaries and affiliates	5,299,867		5,299,867	5,385,181
24. Health care (\$) and other amounts receivable	5,126,485		5,126,485	3,199,985
25. Aggregate write-ins for other-than-invested assets	2,857,003	1,238,493	1,618,510	952,187
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	264,203,063	2,450,852	261,752,211	262,091,852
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	0
28. Total (Lines 26 and 27)	264,203,063	2,450,852	261,752,211	262,091,852
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)	0	0	0	0
2501. Miscellaneous Accounts Receivable	1,655,950	37,440	1,618,510	952,187
2502. Goodwill	176,466	176,466	0	0
2503. Prepaid Assets	1,024,587	1,024,587	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	2,857,003	1,238,493	1,618,510	952,187

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ reinsurance ceded).....	53,522,598		53,522,598	45,708,367
2. Accrued medical incentive pool and bonus amounts	189,856		189,856	189,856
3. Unpaid claims adjustment expenses	1,531,332		1,531,332	1,531,332
4. Aggregate health policy reserves including the liability of \$ for medical loss ratio rebate per the Public Health Service Act.....	2,342,481		2,342,481	2,342,481
5. Aggregate life policy reserves			0	0
6. Property/casualty unearned premium reserve			0	0
7. Aggregate health claim reserves			0	0
8. Premiums received in advance	3,441,191		3,441,191	2,384,101
9. General expenses due or accrued	3,272,547		3,272,547	6,070,016
10.1 Current federal and foreign income tax payable and interest thereon (including \$ on realized gains (losses))			0	0
10.2 Net deferred tax liability.....			0	0
11. Ceded reinsurance premiums payable			0	0
12. Amounts withheld or retained for the account of others	1,077,552		1,077,552	172,904
13. Remittances and items not allocated			0	0
14. Borrowed money (including \$ current) and interest thereon \$ (including \$ current)			0	0
15. Amounts due to parent, subsidiaries and affiliates	803,497		803,497	2,369
16. Derivatives.....		0	0	0
17. Payable for securities			0	0
18. Payable for securities lending			0	0
19. Funds held under reinsurance treaties (with \$ authorized reinsurers, \$ unauthorized reinsurers and \$ certified reinsurers).....			0	0
20. Reinsurance in unauthorized and certified (\$) companies			0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates			0	0
22. Liability for amounts held under uninsured plans	233,389		233,389	1,549
23. Aggregate write-ins for other liabilities (including \$ current)	5,139,604	0	5,139,604	6,704,077
24. Total liabilities (Lines 1 to 23).....	71,554,047	0	71,554,047	65,107,052
25. Aggregate write-ins for special surplus funds	XXX	XXX	2,797,780	0
26. Common capital stock	XXX	XXX		0
27. Preferred capital stock	XXX	XXX		0
28. Gross paid in and contributed surplus	XXX	XXX		0
29. Surplus notes	XXX	XXX		0
30. Aggregate write-ins for other-than-special surplus funds	XXX	XXX	550,000	550,000
31. Unassigned funds (surplus)	XXX	XXX	186,850,386	196,434,804
32. Less treasury stock, at cost:				
32.1 shares common (value included in Line 26 \$)	XXX	XXX		0
32.2 shares preferred (value included in Line 27 \$)	XXX	XXX		0
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	190,198,166	196,984,804
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	261,752,213	262,091,856
DETAILS OF WRITE-INS				
2301. Post Retirement Benefit Obligations.....	2,975,915		2,975,915	3,388,520
2302. Federal Employee Penalty.....	1,102,882		1,102,882	2,144,107
2303. Salaries & Wages.....	1,059,754		1,059,754	1,171,435
2398. Summary of remaining write-ins for Line 23 from overflow page	1,053	0	1,053	15
2399. Totals (Lines 2301 through 2303 plus 2398) (Line 23 above)	5,139,604	0	5,139,604	6,704,077
2501. Affordable Care Act Health Insurer Fee Allocation.....	XXX	XXX	2,797,780	
2502.	XXX	XXX		
2503.	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	XXX	XXX	2,797,780	0
3001. Contingency Reserves.....	XXX	XXX	550,000	550,000
3002.	XXX	XXX		
3003.	XXX	XXX		
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098) (Line 30 above)	XXX	XXX	550,000	550,000

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months.....	XXX	734,489	707,598	938,919
2. Net premium income (including \$ non-health premium income).....	XXX	295,099,202	290,184,837	381,496,117
3. Change in unearned premium reserves and reserve for rate credits	XXX		0	0
4. Fee-for-service (net of \$ medical expenses)	XXX		0	0
5. Risk revenue	XXX		0	0
6. Aggregate write-ins for other health care related revenues	XXX	0	0	0
7. Aggregate write-ins for other non-health revenues	XXX	0	0	0
8. Total revenues (Lines 2 to 7)	XXX	295,099,202	290,184,837	381,496,117
Hospital and Medical:				
9. Hospital/medical benefits		186,486,046	189,947,662	251,397,630
10. Other professional services		22,442,565	21,354,062	29,340,415
11. Outside referrals			30,030	30,030
12. Emergency room and out-of-area		14,196,656	12,861,281	17,476,604
13. Prescription drugs		48,162,870	38,696,016	44,923,110
14. Aggregate write-ins for other hospital and medical.....	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts.....			0	189,856
16. Subtotal (Lines 9 to 15)	0	271,288,137	262,889,051	343,357,645
Less:				
17. Net reinsurance recoveries		878,097	0	468,400
18. Total hospital and medical (Lines 16 minus 17)	0	270,410,040	262,889,051	342,889,245
19. Non-health claims (net).....			0	0
20. Claims adjustment expenses, including \$ cost containment expenses.....		6,790,396	5,224,574	9,062,032
21. General administrative expenses.....		20,262,973	16,170,079	29,191,763
22. Increase in reserves for life and accident and health contracts (including \$ increase in reserves for life only).....			0	0
23. Total underwriting deductions (Lines 18 through 22)	0	297,463,409	284,283,704	381,143,040
24. Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	(2,364,207)	5,901,133	353,077
25. Net investment income earned		4,537,501	3,530,779	5,437,666
26. Net realized capital gains (losses) less capital gains tax of \$		7,411,721	2,330,366	4,954,900
27. Net investment gains (losses) (Lines 25 plus 26)	0	11,949,222	5,861,145	10,392,566
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$) (amount charged off \$)]			0	0
29. Aggregate write-ins for other income or expenses	0	39,840	121,213	140,637
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	9,624,855	11,883,491	10,886,280
31. Federal and foreign income taxes incurred	XXX		0	0
32. Net income (loss) (Lines 30 minus 31)	XXX	9,624,855	11,883,491	10,886,280
DETAILS OF WRITE-INS				
0601.	XXX		0	0
0602.	XXX		0	0
0603.	XXX		0	0
0698. Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698) (Line 6 above)	XXX	0	0	0
0701.	XXX			
0702.	XXX			
0703.	XXX			
0798. Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798) (Line 7 above)	XXX	0	0	0
1401. IPN Capitation.....			0	0
1402.			0	0
1403.			0	0
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498) (Line 14 above)	0	0	0	0
2901. Rental Income.....		7,485	9,000	13,500
2902. Miscellaneous Income.....		32,355	112,213	127,137
2903.				
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above)	0	39,840	121,213	140,637

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
CAPITAL & SURPLUS ACCOUNT			
33. Capital and surplus prior reporting year.....	196,984,800	191,370,365	191,370,365
34. Net income or (loss) from Line 32	9,624,855	11,883,491	10,886,280
35. Change in valuation basis of aggregate policy and claim reserves		0	0
36. Change in net unrealized capital gains (losses) less capital gains tax of \$	(19,892,327)	(13,160,869)	(12,065,973)
37. Change in net unrealized foreign exchange capital gain or (loss)		0	0
38. Change in net deferred income tax		0	0
39. Change in nonadmitted assets	3,480,838	6,605,037	7,464,118
40. Change in unauthorized and certified reinsurance	0	0	0
41. Change in treasury stock		0	0
42. Change in surplus notes	0	0	0
43. Cumulative effect of changes in accounting principles		0	0
44. Capital Changes:			
44.1 Paid in		0	0
44.2 Transferred from surplus (Stock Dividend)		0	0
44.3 Transferred to surplus		0	0
45. Surplus adjustments:			
45.1 Paid in		0	0
45.2 Transferred to capital (Stock Dividend)	0	0	0
45.3 Transferred from capital		0	0
46. Dividends to stockholders		0	0
47. Aggregate write-ins for gains or (losses) in surplus	0	0	(669,990)
48. Net change in capital and surplus (Lines 34 to 47)	(6,786,634)	5,327,659	5,614,435
49. Capital and surplus end of reporting period (Line 33 plus 48)	190,198,166	196,698,024	196,984,800
DETAILS OF WRITE-INS			
4701. Prior period adjustment.....	0	0	(669,990)
4702.			
4703.			
4798. Summary of remaining write-ins for Line 47 from overflow page	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798) (Line 47 above)	0	0	(669,990)

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance.....	297,299,189	289,891,622	378,868,702
2. Net investment income	5,342,683	3,547,586	5,714,144
3. Miscellaneous income	0	0	0
4. Total (Lines 1 to 3)	302,641,872	293,439,208	384,582,846
5. Benefit and loss related payments	261,444,529	258,313,261	340,356,080
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions	32,694,735	19,357,387	31,591,147
8. Dividends paid to policyholders	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses).....	0	0	0
10. Total (Lines 5 through 9)	294,139,264	277,670,648	371,947,227
11. Net cash from operations (Line 4 minus Line 10)	8,502,608	15,768,560	12,635,619
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	76,000,227	193,120,998	264,870,644
12.2 Stocks	42,683,819	(1,804,181)	31,397,207
12.3 Mortgage loans	0	0	0
12.4 Real estate	0	0	0
12.5 Other invested assets	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	0	0
12.7 Miscellaneous proceeds	10,140	0	21,333
12.8 Total investment proceeds (Lines 12.1 to 12.7)	118,694,185	191,316,817	296,289,184
13. Cost of investments acquired (long-term only):			
13.1 Bonds	84,481,693	176,445,337	270,295,192
13.2 Stocks	31,482,783	10,718,358	20,798,648
13.3 Mortgage loans	0	0	0
13.4 Real estate	173,441	59,463	140,074
13.5 Other invested assets	0	0	0
13.6 Miscellaneous applications	7,021,326	10,947,144	15,654,409
13.7 Total investments acquired (Lines 13.1 to 13.6)	123,159,243	198,170,302	306,888,323
14. Net increase (or decrease) in contract loans and premium notes	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(4,465,057)	(6,853,485)	(10,599,139)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock.....	0	0	0
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	0
16.5 Dividends to stockholders	0	0	0
16.6 Other cash provided (applied).....	(1,428,437)	1,127,688	(745,406)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6).....	(1,428,437)	1,127,688	(745,406)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	2,609,114	10,042,763	1,291,074
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	16,877,084	15,586,010	15,586,010
19.2 End of period (Line 18 plus Line 19.1)	19,486,198	25,628,773	16,877,084

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE The Health Plan of the Upper Ohio Valley, Inc.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefits Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
Total Members at end of:										
1. Prior Year	76,460	206	29,823	.0	.0	.0	912	12,133	33,386	.0
2. First Quarter	77,241	192	29,039	.0	.0	.0	880	12,541	34,589	.0
3. Second Quarter	78,247	192	29,136	.0	.0	.0	859	12,511	35,549	.0
4. Third Quarter	109,831	183	29,243				849	12,494	67,062	
5. Current Year	0									
6. Current Year Member Months	734,489	1,719	262,752				7,817	112,758	349,443	
Total Member Ambulatory Encounters for Period:										
7. Physician	472,054	938	143,414				5,098	163,115	159,489	
8. Non-Physician	91,081	215	32,879				1,095	39,862	17,030	
9. Total	563,135	1,153	176,293	0	0	0	6,193	202,977	176,519	0
10. Hospital Patient Days Incurred	52,737	29	3,228				669	38,064	10,747	
11. Number of Inpatient Admissions	7,034	7	695				60	4,196	2,076	
12. Health Premiums Written (a).....	295,795,502	740,739	93,943,891				3,837,590	108,096,270	89,177,012	
13. Life Premiums Direct.....	.0									
14. Property/Casualty Premiums Written0									
15. Health Premiums Earned	295,795,502	740,739	93,943,891				3,837,590	108,096,270	89,177,012	
16. Property/Casualty Premiums Earned0									
17. Amount Paid for Provision of Health Care Services	264,622,725	782,246	88,769,985				4,447,218	101,756,570	68,866,706	
18. Amount Incurred for Provision of Health Care Services	271,288,137	775,275	87,978,976				4,004,322	102,086,445	76,443,119	

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE The Health Plan of the Upper Ohio Valley, Inc.

UNDERWRITING AND INVESTMENT EXHIBIT
ANALYSIS OF CLAIMS UNPAID-PRIOR YEAR-NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5 Claims Incurred in Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability Dec. 31 of Prior Year
	1	2	3	4		
	On Claims Incurred Prior to January 1 of Current Year	On Claims Incurred During the Year	On Claims Unpaid Dec. 31 of Prior Year	On Claims Incurred During the Year		
1. Comprehensive (hospital and medical)	15,316,798	72,545,794	162,120	16,086,972	15,478,918	15,357,433
2. Medicare Supplement0	.0
3. Dental only0	.0
4. Vision only0	.0
5. Federal Employees Health Benefits Plan	733,101	3,677,790	7,314	725,795	740,415	1,139,678
6. Title XVIII - Medicare	17,403,571	84,510,863	101,958	19,886,345	17,505,529	19,816,292
7. Title XIX - Medicaid	9,530,692	59,755,297	224,910	16,327,185	9,755,602	9,394,965
8. Other health0	.0
9. Health subtotal (Lines 1 to 8).....	42,984,162	220,489,744	496,302	53,026,297	43,480,464	45,708,368
10. Health care receivables (a)	520,322	96,490		781,607	520,322	520,322
11. Other non-health0	.0
12. Medical incentive pools and bonus amounts			189,856		189,856	189,856
13. Totals (Lines 9-10+11+12)	42,463,840	220,393,254	686,158	52,244,690	43,149,998	45,377,902

(a) Excludes \$0 loans or advances to providers not yet expensed.

NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies

A. Accounting Practices

The financial statements of The Health Plan are presented on the basis of accounting practices prescribed or permitted by the West Virginia Department of Insurance.

The West Virginia Insurance Department recognizes only statutory accounting practices prescribed or permitted by the state of West Virginia for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the West Virginia Insurance Law. The National Association of Insurance Commissioners' (NAIC) *Accounting Practices and Procedures* manual, (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the state of West Virginia.

A reconciliation of The Health Plan's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of West Virginia is shown below:

	State of Domicile	2015	2014
NET INCOME			
Company state basis (Page 4, Line 32, Columns 2 & 3)	WV	9,624,855	10,886,280
NAIC SAP (1-2-3=4)	WV	9,624,855	10,886,280
SURPLUS			
Company state basis (Page 3, Line 33, Columns 3 & 4)	WV	190,198,166	196,984,804
NAIC SAP (5-6-7=8)	WV	190,198,166	196,984,804

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

C. Accounting Policy

The Health Plan prepares its statutory-basis financial statements in conformity with accounting practices prescribed or permitted by the State of West Virginia Insurance Commission. The State of West Virginia requires that insurance companies domiciled in the State of West Virginia prepare their statutory-basis financial statements in accordance with the National Association of Insurance Commissioners' (NAIC) *Accounting Practices and Procedures Manual* subject to any deviations prescribed or permitted by the State of West Virginia Insurance Commission.

The more significant variances between statutory accounting practices prescribed or permitted by the State of West Virginia Insurance Commission and accounting principles generally accepted in the United States (GAAP) are as follows:

- Short-term investments are stated at amortized cost.
- Cash, cash equivalents, and short-term investments in the statement of cash flows represent cash balances and investments with initial maturities of one year or less. In accordance with GAAP, the corresponding captions of cash and cash equivalents include cash balances and investments with initial maturities of three months or less.

NOTES TO FINANCIAL STATEMENTS

- Investments in bonds and mandatory redeemable preferred stocks are reported at amortized cost or fair value based on their National Association of Insurance Commissioners (NAIC) rating. Equity investments and bonds rated by the NAIC higher than Level 2 are reported at fair value based on values determined by the Securities Valuation Office (SVO) of the NAIC. Unrealized losses on equity investments and bonds with fair values less than cost to the extent determined to be for other than interest reasons are evaluated for other-than-temporary impairment. For GAAP, unrealized holding gains and losses are reported in operations for those investments designated as trading and as a separate component of net assets for those designated as nontrading unless unrealized losses are determined to be other-than-temporarily impaired. Fair value for GAAP is determined in accordance with the Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC) 820
- All single class and multiclass mortgage-backed/asset-backed securities (e.g., CMOs) are adjusted for the effects of changes in prepayment assumptions on the related accretion of discount or amortization of premium of such securities using either the retrospective or prospective methods. If it is determined that a decline in fair value is other than temporary, the cost basis of the security is written down to the undiscounted estimated future cash flows. For GAAP purposes, all securities, purchased or retained, that represent beneficial interests in securitized assets (e.g., CMO, CBO, CDO, CLO, MBS, and ABS securities), other than high-credit-quality securities, are adjusted using the prospective method when there is a change in estimated future cash flows. If it is determined that a decline in fair value is other than temporary, the cost basis of the security is written down to the discounted fair value. If high-credit-quality securities are adjusted, the retrospective method is used.
- Investments in real estate are reported net of related obligations rather than on a gross basis in accordance with GAAP. Real estate owned and occupied by the Company is included in investments rather than reported as an operating asset as under GAAP, and investment income and operating expenses for statutory reporting include rent for the Company's occupancy of those properties.
- Certain types of assets are classified as "nonadmitted," including office furniture and fixtures and related accumulated depreciation, computer software and related depreciation, accounts receivable greater than 90 days, prepaid expenses, assets capitalized under capital leases, nonincome-producing investments, pharmacy rebates that do not meet specific criteria, and other assets not specifically identified as an admitted asset within the NAIC's *Accounting Practices and Procedures Manual* are excluded from the accompanying balance sheets and are charged directly to unassigned surplus. In accordance with GAAP, such assets are included in the balance sheet to the extent those assets are not impaired.
- All leases are considered operating leases under statutory accounting principles, whereas, in accordance with GAAP, leases may be accounted for as either operating or capital depending on the terms of the lease.
- The Health Plan's wholly owned subsidiaries, Hometown Health Plan, Hometown HHP Services, Inc. (HHP Services), HP Agency, Inc., and THP Insurance Company, are accounted for on the equity basis with equity earnings recorded as a component of unrealized gains in surplus. In accordance with GAAP, the accounts and operations of these subsidiaries would be consolidated with The Health Plan.
- The accrual for other postretirement benefits excludes the benefit related to nonvested employees. In accordance with GAAP, the accrual for other postretirement benefits includes the benefit for vested and nonvested employees.
- For purposes of calculating the Health Plan's postretirement benefit obligations for 2014, vested participants, current retirees and non-vested participants are included in the valuation, which is also in accordance with GAAP. In addition, as a result of the adoption of SSAP No.92 in 2013, both statutory-basis accounting and GAAP require employers with postretirement benefit plans other than pensions to recognize the funded status (fair value of plan assets less the benefit obligation) of their benefit plans in their balance sheet.
- The NAIC's *Accounting Practices and Procedures Manual* stipulates that goodwill is admitted subject to an aggregate limitation of 10% of the capital and surplus in the most recently filed annual statement excluding EDP equipment, operating system software, net deferred tax assets, and net positive goodwill. However, pursuant to the statutory accounting practices permitted by the State of West Virginia Insurance Commission, goodwill is not admitted in the accompanying balance sheets. In accordance

NOTES TO FINANCIAL STATEMENTS

with GAAP, goodwill is amortized over the estimated economic life and is subject to an assessment for impairment if circumstances indicate that a possible impairment has occurred. At December 31, 2014, goodwill related to the acquisition of Vested Health was recorded by The Company, in the amount of \$176,000. This amount has been nonadmitted in full in the accompanying balance sheets.

Investments

Debt investments, which consist of government securities and corporate bonds, are recorded at amortized cost and equity securities are recorded at market value, as determined by the SVO. Premiums and discounts on debt investments are amortized on the effective yield method over the term of the investment. All mortgage-backed/asset-backed securities are adjusted for the effects of changes in prepayment assumptions on the related accretion of discount or amortization of premium of such securities using either the retrospective or prospective methods. If it is determined that a decline in fair value is other than temporary, the cost basis of the security is written down to the undiscounted estimated future cash flows.

Realized capital gains and losses are determined on the first-in, first-out cost method. Changes in admitted asset carrying amounts of bonds and common stocks are credited or charged directly to surplus.

The Health Plan continually reviews investments for impairment conditions that indicate that an other-than-temporary decline in market value has occurred. In conducting this review, numerous factors are considered which, individually or in combination, indicate that a decline is other than temporary and that a reduction of the carrying value is required. These factors include specific information pertaining to an individual company or a particular industry and general market conditions that reflect prospects for the economy as a whole.

As a result of the increased credit risk and reduced liquidity in the secondary marketplace for mortgage-backed securities, these products have experienced extreme price volatility and reduced trading activity. Exposure to these securities increases the risk of significant financial losses in the future as a result of the current situation in the financial markets.

Use of Estimates

The preparation of financial statements requires management to make estimates and assumptions that affect the amounts reported in the financial statements and accompanying notes. Actual results could differ from those estimates.

Cash, Cash Equivalents, and Short-Term Investments

Cash, cash equivalents, and short-term investments include demand deposits with financial institutions and highly liquid investments with maturities of one year or less. The Health Plan is required to maintain a \$3,000,000 compensating balance under a banking agreement.

Investments

Debt investments, which consist of government securities and corporate bonds, are recorded at amortized cost and equity securities are recorded at market value, as determined by the SVO. Premiums and discounts on debt investments are amortized on the effective yield method over the term of the investment. All mortgage-backed/asset-backed securities are adjusted for the effects of changes in prepayment assumptions on the related accretion of discount or amortization of premium of such securities using either the retrospective or prospective methods. If it is determined that a decline in fair value is other than temporary, the cost basis of the security is written down to the undiscounted estimated future cash flows.

Limited partnerships include mezzanine debt investments. Limited partnerships are recorded using their audited GAAP equity valuation. In accordance with statutory accounting principles, distributed earnings are recorded as investment income and all undistributed earnings, including unrealized gains and losses are included in the change in net unrealized capital gains and losses.

Realized capital gains and losses are determined on the first-in, first-out cost method. Changes in admitted asset carrying amounts of bonds and common stocks are credited or charged directly to surplus.

The Health Plan's insurance subsidiaries and noninsurance subsidiaries (Hometown HHP Services, Inc. and HP Agency, Inc.) which have no significant ongoing operations other than for The Health Plan and its subsidiaries, are reported in the accompanying balance sheets at their underlying statutory equity. The Health Plan's investments in noninsurance subsidiaries and, beginning in 2007, nonaudited insurance subsidiaries are

NOTES TO FINANCIAL STATEMENTS

treated as nonadmitted assets. The net change in the subsidiaries' equity is included in the change in net unrealized gains or losses on investments.

As a result of the increased credit risk and reduced liquidity in the secondary marketplace for mortgage-backed securities, these products have experienced extreme price volatility and reduced trading activity. Exposure to these securities increases the risk of significant financial losses in the future as a result of the current situation in the financial markets.

Investment in Real Estate

Land is recorded at cost. Real estate occupied by The Health Plan and its subsidiaries is reported at depreciated cost. Depreciation is calculated on the straight-line method over the estimated useful lives of the properties, ranging from 7 to 45 years.

Land is reported at cost of \$800,000 at September 30, 2015 and 2014. Real estate, including buildings and improvements, occupied by The Health Plan are recorded at cost of \$7,895,000 and \$7,641,000 less depreciation of \$3,705,000 and \$3,453,000 at September 30, 2015 and 2014 respectively.

Revenue Recognition

Member premiums are recognized as income in the period in which enrollees are entitled to receive health care services. Premiums received prior to the period of coverage are classified as unearned premiums.

The Health Plan provides health care services to Medicare enrollees through the Medicare Plus Program. Payments under this program are based on historical costs of providing the service with adjustments to premiums made subsequent to each contract year for differences between estimated premiums and actual costs, as determined in accordance with Medicare regulations. Amounts received under this program are subject to review and final determination by the Center for Medicare and Medicaid Services (CMS). Provision is made for estimated adjustments in the period the related services are rendered and adjusted in future periods as final settlements are determined.

Medicare Part D Premiums and Expenses

Beginning January 1, 2006, The Health Plan began serving as a plan sponsor offering Medicare Part D prescription drug insurance coverage under a contract with the Centers for Medicare & Medicaid Services (CMS). The CMS premium, the member premium, and the low-income premium subsidy represent payments for The Health Plan's insurance risk coverage under the Medicare Part D program and therefore are recorded as premium revenues in operations. Premium revenues are recognized ratably over the period in which eligible individuals are entitled to receive prescription drug benefits.

Subsidies from CMS represent cost reimbursements under the Medicare Part D program. Amounts received for these subsidies are not reflected as premium revenues, but rather are accounted for as deposits, with the related liability recorded in the balance sheet. Pharmacy benefit costs and administrative costs under the contract are expensed as incurred.

Medical Costs

The Health Plan provides medical care to its members under contracts with various health care providers on a modified fee-for-service and capitation basis with certain provider contracts including provisions for risk sharing. Medical costs payable includes estimates for claims reported, estimated claims costs for claims incurred but unreported, and the estimated adjustment expenses related to those claims as of the balance sheet date. Such estimates also include the cost of services which will continue to be rendered after the balance sheet date when The Health Plan is obligated to render such services in accordance with contract provisions or regulatory requirements. Adjustments to prior period estimates of medical costs are reflected in the current period.

Medical costs payable represent management's best estimate. There is uncertainty as to whether the actual medical costs payable will conform to the assumptions inherent in the determination of the amount. Because of the uncertainties related to the recording of health care costs, the ultimate settlement of the health care cost estimates may vary significantly from the estimated amounts included in the accompanying financial statements.

NOTES TO FINANCIAL STATEMENTS

Pharmacy Rebates

The Health Plan has pharmaceutical rebate contracts with vendors that manufacture and distribute pharmaceutical products to pharmacies and others that are purchased by Health Plan enrollees. The Health Plan receives a purchase discount in the form of a rebate, which is based on the volume of pharmaceutical products purchased by its enrollees. The estimated rebates are recognized as a reduction of hospital and medical benefit costs included in the statements of revenues, expenses, and changes in surplus in the period in which the rebates are incurred and are later adjusted, as necessary, when the actual rebates are received.

Amounts Retained for Others

Amounts retained for others represents cash held for groups to which the Health Plan provides pension administration services.

Application of New Accounting Standards

The NAIC issued Statement of Statutory Accounting Principles No. 101 (SSAP No. 101), *Income Taxes*, a replacement of SSAP No. 10R and SSAP No. 10, which supersedes current income tax guidance in SSAP No. 10R. SSAP No. 101 incorporates GAAP guidance, and it also includes changes to the three-part admissibility test, including requiring the use of current reporting period's capital and surplus as opposed to prior reporting period's capital and surplus. While The Health Plan is tax-exempt, it can be taxed for certain third-party administration services, which is considered to be unrelated business taxable income. Accordingly, The Health Plan adopted the new standard effective January 1, 2012, and it did not have a material impact on the financial statements.

The Health Plan adopted SSAP No. 92, *Accounting for Postretirement Benefits Other Than Pensions*, on January 1, 2013. SSAP No. 92 supersedes SSAP No. 14, *Postretirement Benefits Other Than Pensions*. The primary purpose of this adopted SSAP is to recognize the funded status of the postretirement benefit plan on the balance sheet. As permitted under the guidance, the Health Plan elected to recognize the entire surplus impact as of January 1, 2013. The impact of the adoption of SSAP No. 92 was a decrease in surplus of \$1.7 million.

2. Accounting Changes and Corrections of Errors:

A. NONE

B. Illustrative Disclosure for Insurers Upon Initial Implementation of Codification:

NONE

3. Business Combinations and Goodwill:

The Company purchased a 100% interest in Vested Health on 12/31/2014. Vested Health is a consumer-driven health plan (CDHP) serving brokers, employers, and employees. Products include Health Reimbursement Arrangements (HRA), Retiree HRA, Wellness HRA, Health Savings Accounts (HSA), and Cafeteria Plans (Section 125).

The transaction was accounted for as a statutory purchase. The cost was \$250,000, resulting in goodwill in the amount of \$176,467. Goodwill amortization relating to the purchase of Vested Health was \$0 for the year ended 12/31/2014, due to the purchase being completed on that date.

4. Discontinued Operations:

NONE

5. Investments:

A. Mortgage Loans, including mezzanine rreal estate loans - NONE

B. Troubled debt restructuring for creditors- NONE

C. Reverse Mortgages - NONE

NOTES TO FINANCIAL STATEMENTS

D. Loan-Backed Securities - NONE

E. Repurchase Agreements and/or securities lending transactions - NONE

F. Real estate investments - NONE

G. Low-Income Housing Tax Credits - NONE

6. Joint Ventures, Partnerships and Limited Liability Companies:

NONE

7. Investment Income:

Due and accrued investment income was excluded from the financial statements on the following basis: Investment income due and accrued with amounts over 90 days past due are excluded, with the exception of mortgage loans which are admitted up to 180 days past due. No amounts were excluded or required to be excluded at September 30, 2015 or 2014.

8. Derivative Instruments:

NONE

9. Income Taxes:

NONE

10. Information Concerning Parent, Subsidiaries and Affiliates:

THP Insurance Company has a management services contract (Contract) with The Health Plan of the Upper Ohio Valley, Inc. The Contract requires THP Insurance Company to pay a fixed percentage of its monthly premium revenue to The Health Plan in return for executive management, administration, marketing, accounting, and claims administration services. For the years ended December 31, 2014 and 2013, THP Insurance Company paid approximately \$4,978,000 and \$6,359,000 respectively, to The Health Plan pursuant to the Contract. In addition, The Health Plan collects premiums for THP Insurance Company, which is settled on a monthly basis.

In 2014 the Administrative Service Agreement between THP Insurance Company and The Health Plan was modified. The percentage of premium revenue to be paid by THP Insurance Company to The Health Plan was reduced from 10% to 3%, retroactive for all of 2014. The change in the Agreement resulted in a refund paid by HPUOV to THP of \$3,318,000 in the fourth quarter of 2014.

11. Debt:

A. Capital Notes

NONE

B. All Other Debt

NONE

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans:

The Health Plan's employees are covered by a qualified defined contribution pension plan sponsored by the Company.

Effective January 1, 2012, The Health Plan converted its noncontributory defined contribution pension plan into a 401K retirement plan. The 401K plan consists of a 7% fixed employer contribution and a 3% safe harbor employer contribution, with both contributions being based on the employee's salaries and wages. In addition, employees can make optional salary deferred contributions into the plan on a fixed dollar or percentage basis up to the annual IRS limits. The total 401K combined safe harbor and fixed employer contribution expense was approximately \$1,401,000 and \$1,376,000 for the years ended December 31, 2014, and 2013, respectively.

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganization:

I. Special Surplus Funds

Effective January 1, 2014, the Company is subject to an annual fee under section 9010 of the Patient Protection and Affordable Care Act (PPACA), which is not deductible for income tax purposes. This annual fee will be allocated to individual health insurers based on the ratio of the amount of the entity's net premiums

NOTES TO FINANCIAL STATEMENTS

written during the preceding calendar year to the amount of health insurance for any U.S. health risk that is written during the preceding calendar year.

As of September 30, 2015, \$2,798,000 for ACA fees has been recognized as a reduction of Special Surplus Funds, and recognized as an addition to General Expenses Payable of the Company.

14. Contingencies:

NONE

15. Leases:

The Health Plan leases certain office equipment and vehicles. Future minimum payments, by year and in the aggregate of noncancelable operating leases with initial or remaining terms of one year or more consisted of the following at December 31, 2014:

2015	112,998
2016	14,807
2017 and after	0
Total minimum lease payments	<u>\$ 127,808</u>

16. Information About Financial Instruments With Off-Balance Sheet Risk And Financial Instruments With Concentrations of Credit Risk:

NONE

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities:

NONE

18. Gain or Loss to the Reporting Entity from Uninsured A&H Plans and the Uninsured Portion of Partially Insured Plans:

NONE

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators:

NONE

20. Fair Value Measurements:

On December 5, 2009, the NAIC issued Statement of Statutory Accounting Principles No. 100 (SSAP No. 100), *Fair Value Measurements*, which established a framework for measuring fair value and required specific disclosures regarding assets and liabilities that are measured at fair value. This statement was effective December 31, 2010. The Health Plan elected to adopt SSAP No. 100 as of December 31, 2009.

Included in various investment-related line items in the financial statements are certain financial instruments carried at fair value. Other financial instruments are periodically measured at fair value, such as when impaired, or, for certain bonds when carried at the lower of cost or market. As defined in SSAP No. 100, fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. SSAP No. 100 establishes a three-level hierarchy for valuing assets and liabilities based on how transparent (observable) the inputs are that are used to determine fair value, with the inputs considered most observable categorized as Level 1 and those that are the least observable categorized as Level 3. For some assets, the inputs used to measure fair value may fall into different levels of the fair value hierarchy. When this is the case, the asset is categorized in the table based on the lowest level input that is significant to the fair value measurement in its entirety. The Health Plan's assessment of the significance of a particular input to the fair value measurement in its entirety requires judgment, and considers factors specific to the assets being valued

Hierarchy levels are defined by SSAP No. 100 as follows:

- Level 1: Quoted (unadjusted) market prices in active markets for identical assets and

NOTES TO FINANCIAL STATEMENTS

F. State Transferable Tax Credits - NONE

G. Subprime - Mortgage Risk Exposure - NONE

22. Events Subsequent:

Effective January 1, 2014, The Health Plan is subject to an annual fee under section 9010 of the Patient Protection and Affordable Care Act (PPACA), which is not deductible for income tax purposes. This annual fee will be allocated to individual health insurers based on the ratio of the amount of the entity's net premiums written during the preceding calendar year to the amount of health insurance for any U.S. health risk that is written during the preceding calendar year.

A health insurance entity's portion of the annual fee becomes payable once the entity provides health insurance for any U.S. health risk for each calendar year beginning on or after January 1, 2014. The Health Plan has written health insurance subject to the PPACA assessment, is conducting health insurance business in 2015, and estimates its portion of the annual health insurance industry fee payable in 2015 to be approximately \$2,798,000.

Management of The Health Plan evaluated events and transactions occurring subsequent to September 30, 2015 through November 13, 2015. No other subsequent events requiring disclosure in the financial statements were identified.

23. Reinsurance:

The Health Plan purchases reinsurance, which provides coverage for catastrophic inpatient hospital claims. Effective as of November 1, 2012, the deductible is \$400,000 for commercial products and \$350,000 for government products. The Health Plan is contingently liable for reinsured losses to the extent that the reinsurance company cannot meet its obligations under the reinsurance contract.

Reinsurance expenses of approximately \$696,000 and \$937,000 as of September 30, 2015 and 2014, respectively, are included in the statements of revenues, expenses, and changes in surplus as a reduction of member premiums. Reinsurance recoveries of approximately \$878,000 and \$0 in 2015 and 2014, respectively, are included in the statements of revenues, expenses, and changes in surplus as a reduction of medical costs expense.

Neither The Health Plan nor any of its related parties control, directly or indirectly, any direct reinsurers with whom The Health Plan conducts business. No policies issued by The Health Plan have been reinsured with a foreign company, which is controlled, either directly or indirectly, by a party not primarily engaged in the business of insurance. The Health Plan does not have any reinsurance agreements in effect, under which the reinsurer may unilaterally cancel the agreement.

24. Retrospectively Rated Contracts:

NONE

25. Change in Incurred Claims and Claim Adjustment Expenses:

The following table provides a reconciliation of the beginning and ending reserve balances for medical costs payable for the years ended December 31, 2014 and 2013:

	2014	2013
Medical costs payable, net of reinsurance receivable, at beginning of year	36,305,686	39,828,958
Add provision for medical costs occurring in:		
Current year	343,469,980	334,128,219
Prior years	(580,735)	(32,657)
Net incurred medical costs during the current year	342,899,245	334,095,562
Deduct payments for medical costs occurring in:		
Current year	298,298,389	297,027,297
Prior years	39,047,562	40,591,537
Net medical cost payments during the current year	337,345,951	337,618,834
Medical costs payable, net of reinsurance and nonadmitted pharmacy rebates receivable	41,848,980	36,305,686

NOTES TO FINANCIAL STATEMENTS

Reinsurance receivable	520,322	55,138
Nonadmitted pharmacy rebates receivable	3,339,065	6,165,280
Medical costs payable at end of year	45,708,367	42,526,104

26. Intercompany Pooling Arrangements:

NONE

27. Structured Settlements:

NONE

28. Healthcare Receivables:

NONE

29. Participating Policies:

NONE

30. Premium Deficiency:

Premium deficiency reserves are established for the amount of anticipated losses, loss adjustment expenses, commissions and other acquisition costs, and maintenance costs that have not previously been expensed in excess of the recorded unearned premium reserve and future installment premiums on existing policies. The Health Plan does not consider anticipated investment income when calculating its premium deficiency. Premium deficiency reserves were \$2,342,000 and \$682,000 at September 30, 2015 and 2014, respectively.

31. Anticipated Salvage and Subrogation:

NONE

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]
- 2.2 If yes, date of change:
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No []
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
.....
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]
- 4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [X] NA []
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made.12/31/2012
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.03/31/2014
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).04/17/2014
- 6.4 By what department or departments?
West Virginia Department of Insurance.....
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [X] No [] NA []
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [] NA []
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 7.2 If yes, give full information:
.....
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?..... Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
.....
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms?..... Yes [] No [X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes No
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 (c) Compliance with applicable governmental laws, rules and regulations;
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

- 9.2 Has the code of ethics for senior managers been amended? Yes No

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes No

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes No

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$0

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes No

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$0

13. Amount of real estate and mortgages held in short-term investments: \$0

- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes No

14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$	\$
14.22 Preferred Stock	\$	\$
14.23 Common Stock	\$21,481,051	\$16,554,373
14.24 Short-Term Investments	\$	\$
14.25 Mortgage Loans on Real Estate	\$	\$
14.26 All Other	\$	\$
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26).....	\$21,481,051	\$16,554,373
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$	\$

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes No

- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes No

If no, attach a description with this statement.

GENERAL INTERROGATORIES

- 16 For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- | | |
|--|-----------|
| 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 | \$0 |
| 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 | \$0 |
| 16.3 Total payable for securities lending reported on the liability page | \$0 |

17. Excluding items in Schedule E – Part 3 – Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III – General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes No

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
US Bank.....	Cincinnati, Ohio.....

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes No

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
	Chase Investments.....	300 Preston Ave., Charlottesville, VA 22902.....
	Kornitzer Capital.....	Shawnee Mission, KS 66201.....
	JP Morgan.....	2 Bae Mar Place, Wheeling, WV 26003.....
	TCW.....	11100 Santa Monica Blvd., LA, CA.....
	Logan Circle Partners.....	1717 Arch St., Suite 1500, Philadelphia, PA 19103.....
	Mackay Shields.....	9 W. 57th St., 33rd Floor, NY, NY 10019.....

18.1 Have all the filing requirements of the *Purposes and Procedures Manual* of the NAIC Securities Valuation Office been followed? Yes No

18.2 If no, list exceptions:

.....

GENERAL INTERROGATORIES

PART 2 - HEALTH

1.	Operating Percentages:		
	1.1 A&H loss percent.....	91.6	%
	1.2 A&H cost containment percent	0.0	%
	1.3 A&H expense percent excluding cost containment expenses.....	91.6	%
	2.1 Do you act as a custodian for health savings accounts?.....	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
	2.2 If yes, please provide the amount of custodial funds held as of the reporting date.....	\$ 55,916	
	2.3 Do you act as an administrator for health savings accounts?.....	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
	2.4 If yes, please provide the balance of the funds administered as of the reporting date.....	\$	

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

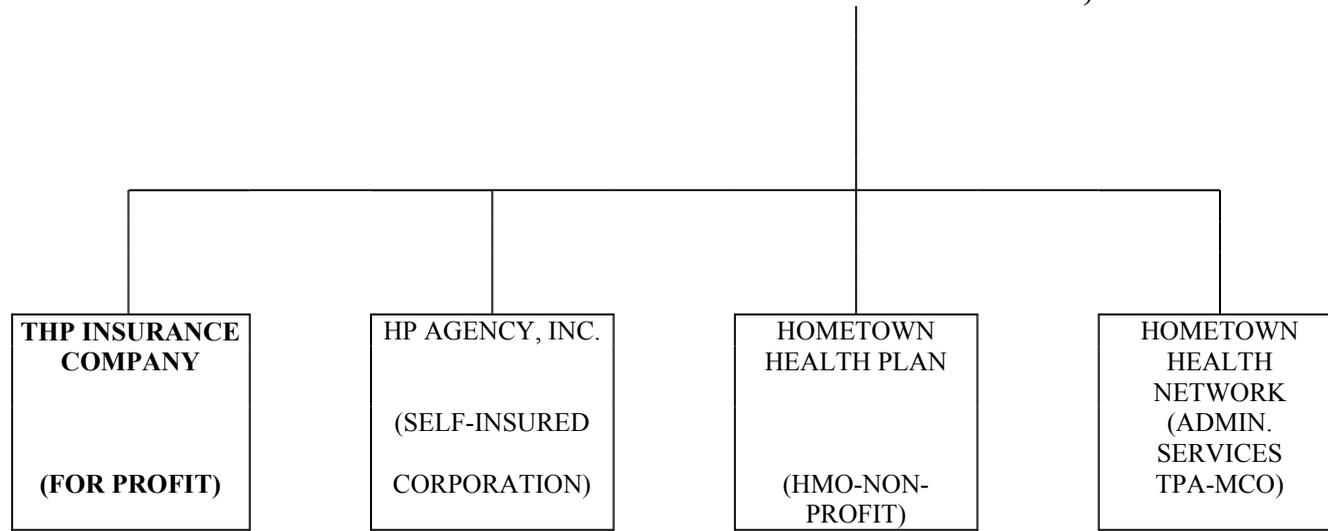
States, Etc.	1 Active Status	Direct Business Only							8 Total Columns 2 Through 7	9 Deposit-Type Contracts
		2 Accident & Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life & Annuity Premiums & Other Considerations	7 Property/Casualty Premiums			
1. Alabama	AL	N							0	
2. Alaska	AK	N							0	
3. Arizona	AZ	N							0	
4. Arkansas	AR	N							0	
5. California	CA	N							0	
6. Colorado	CO	N							0	
7. Connecticut	CT	N							0	
8. Delaware	DE	N							0	
9. Dist. Columbia	DC	N							0	
10. Florida	FL	N							0	
11. Georgia	GA	N							0	
12. Hawaii	HI	N							0	
13. Idaho	ID	N							0	
14. Illinois	IL	N							0	
15. Indiana	IN	N							0	
16. Iowa	IA	N							0	
17. Kansas	KS	N							0	
18. Kentucky	KY	N							0	
19. Louisiana	LA	N							0	
20. Maine	ME	N							0	
21. Maryland	MD	N							0	
22. Massachusetts	MA	N							0	
23. Michigan	MI	N							0	
24. Minnesota	MN	N							0	
25. Mississippi	MS	N							0	
26. Missouri	MO	N							0	
27. Montana	MT	N							0	
28. Nebraska	NE	N							0	
29. Nevada	NV	N							0	
30. New Hampshire	NH	N							0	
31. New Jersey	NJ	N							0	
32. New Mexico	NM	N							0	
33. New York	NY	N							0	
34. North Carolina	NC	N							0	
35. North Dakota	ND	N							0	
36. Ohio	OH	L	40,016,601	72,422,882	0	870,505	0	0	113,309,988	
37. Oklahoma	OK	N							0	
38. Oregon	OR	N							0	
39. Pennsylvania	PA	N							0	
40. Rhode Island	RI	N							0	
41. South Carolina	SC	N							0	
42. South Dakota	SD	N							0	
43. Tennessee	TN	N							0	
44. Texas	TX	N							0	
45. Utah	UT	N							0	
46. Vermont	VT	N							0	
47. Virginia	VA	N							0	
48. Washington	WA	N							0	
49. West Virginia	WV	L	54,668,029	35,673,388	89,177,012	2,967,085	0	0	182,485,514	
50. Wisconsin	WI	N							0	
51. Wyoming	WY	N							0	
52. American Samoa	AS	N							0	
53. Guam	GU	N							0	
54. Puerto Rico	PR	N							0	
55. U.S. Virgin Islands	VI	N							0	
56. Northern Mariana Islands	MP	N							0	
57. Canada	CAN	N							0	
58. Aggregate other alien	OT	XXX	0	0	0	0	0	0	0	0
59. Subtotal	XXX		94,684,630	108,096,270	89,177,012	3,837,590	0	0	295,795,502	0
60. Reporting entity contributions for Employee Benefit Plans	XXX								0	
61. Total (Direct Business)	(a) 2		94,684,630	108,096,270	89,177,012	3,837,590	0	0	295,795,502	0
DETAILS OF WRITE-INS										
58001	XXX									
58002	XXX									
58003	XXX									
58998 Summary of remaining write-ins for Line 58 from overflow page	XXX		0	0	0	0	0	0	0	0
58999 Totals (Lines 58001 through 58003 plus 58998) (Line 58 above)	XXX		0	0	0	0	0	0	0	0

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and other Alien.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

**THE HEALTH PLAN OF
THE UPPER OHIO VALLEY, INC.**



SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of **NO** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

RESPONSE

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

.....NO.....

Explanation:

1. Business not written.

Bar Code:

1. 
9 5 6 7 7 2 0 1 5 3 6 5 0 0 0 0 3

OVERFLOW PAGE FOR WRITE-INS

MQ003 Additional Aggregate Lines for Page 03 Line 23.

*LIAB

	1 Covered	2 Uncovered	3 Total	4 Total
2304. Miscellaneous.....	1,053		1,053	15
2397. Summary of remaining write-ins for Line 23 from Page 03	1,053	0	1,053	15

SCHEDULE A – VERIFICATION

Real Estate

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	5,007,995	5,133,498
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	173,441	140,074
2.2 Additional investment made after acquisition		0
3. Current year change in encumbrances		0
4. Total gain (loss) on disposals		0
5. Deduct amounts received on disposals		0
6. Total foreign exchange change in book/adjusted carrying value		0
7. Deduct current year's other-than-temporary impairment recognized		0
8. Deduct current year's depreciation	190,778	265,577
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)	4,990,658	5,007,995
10. Deduct total nonadmitted amounts	0	0
11. Statement value at end of current period (Line 9 minus Line 10)	4,990,658	5,007,995

SCHEDULE B – VERIFICATION

Mortgage Loans

	1 Year To Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		0
2.2 Additional investment made after acquisition		0
3. Capitalized deferred interest and other		0
4. Accrual of discount		0
5. Unrealized valuation increase (decrease)		0
6. Total gain (loss) on disposals		0
7. Deduct amounts received on disposals		0
8. Deduct amortization of premium and mortgage interest points and commitment fees		0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		0
10. Deduct current year's other-than-temporary impairment recognized		0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	0	0
12. Total valuation allowance		0
13. Subtotal (Line 11 plus Line 12)	0	0
14. Deduct total nonadmitted amounts	0	0
15. Statement value at end of current period (Line 13 minus Line 14)	0	0

NONE

SCHEDULE BA – VERIFICATION

Other Long-Term Invested Assets

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	0	0
2.2 Additional investment made after acquisition	0	0
3. Capitalized deferred interest and other	0	0
4. Accrual of discount	0	0
5. Unrealized valuation increase (decrease)	0	0
6. Total gain (loss) on disposals	0	0
7. Deduct amounts received on disposals	0	0
8. Deduct amortization of premium and depreciation	0	0
9. Total foreign exchange change in book/adjusted carrying value	0	0
10. Deduct current year's other-than-temporary impairment recognized	0	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7+8+9-10)	0	0
12. Deduct total nonadmitted amounts	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	0	0

SCHEDULE D – VERIFICATION

Bonds and Stocks

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	223,421,428	220,642,326
2. Cost of bonds and stocks acquired	115,964,476	306,093,840
3. Accrual of discount		0
4. Unrealized valuation increase (decrease)	(12,680,223)	(12,065,973)
5. Total gain (loss) on disposals	7,401,581	4,933,567
6. Deduct consideration for bonds and stocks disposed of	118,684,046	296,267,851
7. Deduct amortization of premium	916,782	(85,519)
8. Total foreign exchange change in book/adjusted carrying value		0
9. Deduct current year's other-than-temporary impairment recognized		0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	214,506,434	223,421,428
11. Deduct total nonadmitted amounts	356,519	1,436,222
12. Statement value at end of current period (Line 10 minus Line 11)	214,149,915	221,985,206

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE The Health Plan of the Upper Ohio Valley, Inc.

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a).....	81,244,761	19,721,754	15,360,595	39,790	78,867,856	81,244,761	85,645,710	78,867,856
2. NAIC 2 (a).....	10,593,246				10,593,246	10,593,246	10,593,246	9,881,058
3. NAIC 3 (a).....	3,670,181				3,670,181	3,670,181	3,670,181	3,670,181
4. NAIC 4 (a).....	7,177,469				7,177,469	7,177,469	7,177,469	7,177,469
5. NAIC 5 (a).....	2,264,912				2,264,912	2,264,912	2,264,912	2,264,912
6. NAIC 6 (a).....	5,860,315				5,860,315	5,860,315	5,860,315	5,860,315
7. Total Bonds	110,810,884	19,721,754	15,360,595	39,790	108,433,979	110,810,884	115,211,833	107,721,791
PREFERRED STOCK								
8. NAIC 1.....	0				0	0	0	0
9. NAIC 2.....	0				0	0	0	0
10. NAIC 3.....	0				0	0	0	0
11. NAIC 4.....	0				0	0	0	0
12. NAIC 5.....	0				0	0	0	0
13. NAIC 6.....	0				0	0	0	0
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds & Preferred Stock	110,810,884	19,721,754	15,360,595	39,790	108,433,979	110,810,884	115,211,833	107,721,791

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$0 ; NAIC 2 \$0 ;
NAIC 3 \$0 ; NAIC 4 \$0 ; NAIC 5 \$0 ; NAIC 6 \$0

S102

Schedule DA - Part 1

NONE

Schedule DA - Verification

NONE

Schedule DB - Part A - Verification

NONE

Schedule DB - Part B - Verification

NONE

Schedule DB - Part C - Section 1

NONE

Schedule DB - Part C - Section 2

NONE

Schedule DB - Verification

NONE

SCHEDULE E - VERIFICATION

(Cash Equivalents)

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	0
2. Cost of cash equivalents acquired	0	0
3. Accrual of discount	0	0
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals.....	0	0
6. Deduct consideration received on disposals	0	0
7. Deduct amortization of premium	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	0	0
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	0	0

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE The Health Plan of the Upper Ohio Valley, Inc.

SCHEDULE A - PART 2

Showing All Real Estate ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Description of Property	Location		4 Date Acquired	5 Name of Vendor	6 Actual Cost at Time of Acquisition	7 Amount of Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances	9 Additional Investment Made After Acquisition
	2 City	3 State						
Acquired by purchase								
Additional parking lot area.....	St. Clairsville.....	Ohio.....	07/18/2015.....	Tim T. Templin.....	14,747	0	14,747	0
0199999 - Acquired by purchase					14,747	0	14,747	0
0399999 Totals					14,747	0	14,747	0

SCHEDULE A - PART 3

Showing All Real Estate DISPOSED During the Quarter, Including Payments During the Final Year on "Sales Under Contract"

1 Description of Property	Location		4 Disposal Date	5 Name of Purchaser	6 Actual Cost	7 Expended for Additions, Permanent Improvements and Changes in Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances Prior Year	Changes in Book/Adjusted Carrying Value Less Encumbrances					14 Book/Adjusted Carrying Value Less Encumbrances on Disposal	15 Amounts Received During Year	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain(Loss) on Disposal	18 Total Gain (Loss) on Disposal	19 Gross Income Earned Less Interest Incurred on Encumbrances	20 Taxes, Repairs and Expenses Incurred	
	2 City	3 State						9 Current Year's Depreciation	10 Current Year's Other Than Temporary Impairment Recognized	11 Current Year's Change in Encumbrances	12 Total Change in B./A. C.V. (11-9-10)	13 Total Foreign Exchange Change in B./A. C. V.								
					0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
0399999 Totals					0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

E01

Schedule B - Part 2

NONE

Schedule B - Part 3

NONE

Schedule BA - Part 2

NONE

Schedule BA - Part 3

NONE

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE The Health Plan of the Upper Ohio Valley, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
Bonds - U.S. Governments									
36176R-A7-7	RMBS - G2 773430		08/01/2015	Direct		236	236	0	1
36182H-QW-9	RMBS - G2 AH1369		07/01/2015	Direct		1,929	1,929	0	1
38378B-E4-7	CMBS - GNR 2012-114 10		09/10/2015	Jefferies		115,210	0	565	1
38378B-N6-2	CMBS - GNR 2012-125 10		08/01/2015	VARIOUS		379,841	0	1,773	1
38378K-2R-9	CMBS - GNR 2013-142 10		08/05/2015	Nomura International Trust Co.		37,329	0	131	1
38378N-5W-9	CMBS - GNR 2014-77 10		07/22/2015	NOMURA SECURITIES INTL., FIXED I		60,964	0	584	1
38378X-J8-5	CMBS - GNR 2014-175 10		08/01/2015	Adjustment		0	0	3,641	1
38378X-ZD-6	CMBS - GNR 2014-164 10		08/01/2015	Adjustment		0	0	4,024	1
38379K-A9-9	CMBS/CMO - GN 15114 10		08/14/2015	CREDIT SUISSE SECURITIES (USA)		151,625	0	1,459	1
38379K-L7-1	CMBS - GNR 2015-128 10		09/28/2015	CREDIT SUISSE SECURITIES (USA)		216,139	0	2,117	1
38379K-VU-9	CMBS - GNR 2015-101 10		07/16/2015	CREDIT SUISSE SECURITIES (USA)		180,375	0	1,813	1
38379K-XU-7	CMBS - GNR 2015-104 10		09/09/2015	BARCLAYS CAPITAL INC FIXED INC.		158,307	0	636	1
38379K-YD-4	CMBS/CMO - GN 15108 10		07/22/2015	Jefferies		48,311	0	481	1
912828-H7-8	US TREASURY N/B		08/07/2015	Nomura Securities Intern, Inc. (HK)		624,292	625,000	102	1
059999 - Bonds - U.S. Governments						1,974,559	627,165	17,325	XXX
Bonds - All Other Governments									
944488-RM-9	WAYNE CNTY		07/20/2015	Merril Lynch Pierce Fenner Smith		245,612	247,000	907	1FE
109999 - Bonds - All Other Governments						245,612	247,000	907	XXX
Bonds - U.S. Political Subdivisions of States, Territories and Possessions									
000000-00-0									
Bonds - U.S. Special Revenue									
31394A-EC-6	CMO/RMBS - FNR 2004-52 NE		08/14/2015	Unknown		279,151	269,752	607	1
31397U-5P-0	CMO/RMBS - FNR 2011-62 GA		09/23/2015	RBC CAPITAL MARKETS		410,204	395,140	1,037	1
319999 - Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions						689,356	664,892	1,644	XXX
Bonds - Industrial and Miscellaneous (Unaffiliated)									
00182E-AX-7	ANZ NEW ZEALAND INTL/LDN	R	07/30/2015	CHASE SECURITIES INC		314,739	315,000	0	1FE
002799-AV-6	ABBEEY NATL TREASURY SERV	R	08/17/2015	BANC OF AMERICA SECURITIES LLC		234,314	235,000	0	1FE
00922K-AC-4	AIR 2 US	R	09/01/2015	Adjustment		(342)	8,802	342	6FE
01551U-AA-2	ALGECO SCOTSMAN GLOB FIN	R	08/12/2015	JEFFERIES LLC. [GOV/T/EQUITY]		28,640	32,000	922	4FE
03065C-AD-1	ABS - AMCAR 2013-4 B		08/26/2015	Morgan Stanley		514,522	514,000	545	1FE
03065N-AF-2	ABS - AMCAR 2015-3 C		08/04/2015	BARCLAYS CAPITAL INC FIXED INC.		259,997	260,000	0	1FE
04032Y-AB-5	ABS - ARIFL 2015-A A2		09/16/2015	MORGAN STANLEY DW INC/ALGO/SOFT		31,880	32,000	6	1FE
050095-AM-0	ATWOOD OCEANICS INC		09/23/2015	VARIOUS		540,498	611,000	6,404	3FE
07388Q-AF-6	CMBS - BSCMS 2007-PW17 A1A		07/16/2015	MORGAN STANLEY & CO LLC		57,784	53,877	169	1FM
093662-AF-1	BLOCK FINANCIAL LLC		09/25/2015	CHASE SECURITIES INC		308,989	310,000	0	2FE
095370-AA-0	BLUE CUBE SPINCO INC		09/25/2015	CHASE SECURITIES INC		115,000	115,000	125	3FE
12505N-AB-0	ABS - CCG 2014-1 A2		08/12/2015	Wells Fargo		14,633	14,685	1	1FE
12505X-AB-8	ABS - CCG 2015-1 A2		09/09/2015	CHASE SECURITIES INC		274,975	275,000	0	1FE
126650-CH-1	CVS HEALTH CORP		07/13/2015	BARCLAYS CAPITAL INC FIXED INC.		314,780	315,000	0	2FE
12673P-AF-2	CA INC		07/30/2015	CHASE SECURITIES INC		234,767	235,000	0	2FE
13974H-AC-1	ABS - AFIN 2015-3 A2		09/02/2015	Morgan Stanley		570,891	570,000	517	1FE
13974H-AD-9	ABS - AFIN 2015-3 A3		08/12/2015	CHASE SECURITIES INC		309,957	310,000	0	1FE
151020-AT-1	CELGENE CORP		08/03/2015	CHASE SECURITIES INC		314,981	315,000	0	2FE
172967-JW-2	CITIGROUP INC		07/23/2015	CITIGROUP GLOBAL MARKETS INC		219,943	220,000	0	1FE
17322G-AA-7	CMO/RMBS - CMLTI 2014-A A		07/28/2015	GUGGENHEIM SECURITIES, LLC		233,880	225,562	752	1FM
200339-DW-6	COMERICA BANK		07/22/2015	CHASE SECURITIES INC		312,603	315,000	1,203	1FE
22533Q-AA-0	ABS - CAALT 2014-2A A		07/29/2015	WELLS FARGO SECURITIES LLC		51,998	52,000	49	1FE
22533Q-AC-6	ABS - CAALT 2014-2A B		07/30/2015	BREAN CAPITAL, LLC		251,406	250,000	352	1FE
233851-BZ-6	DAIMLER FINANCE NA LLC	R	07/28/2015	DEUTSCHE BANK SECURITIES, INC		314,361	315,000	0	1FE
24422E-SX-8	JOHN DEERE CAPITAL CORP		07/09/2015	GOLDMAN, SACHS & CO		314,880	315,000	0	1FE
32058M-AC-9	ABS - FIAOT 2015-2A A2		08/18/2015	WELLS FARGO SECURITIES LLC		123,975	124,000	0	1FE
32058M-AE-5	ABS - FIAOT 2015-2A B		08/18/2015	WELLS FARGO SECURITIES LLC		41,996	42,000	0	1FE
33843K-AC-1	ABS - FCAT 2015-2 B		08/01/2015	Adjustment		199,999	200,000	0	1FE
345397-XK-4	FORD MOTOR CREDIT CO LLC		07/30/2015	CITIGROUP GLOBAL MARKETS INC		220,000	220,000	0	2FE
35906A-AR-9	FRONTIER COMMUNICATIONS		09/11/2015	CHASE SECURITIES INC		75,000	75,000	0	3FE
404225-AE-4	ABS - HSART 2012-T2 A2		08/05/2015	WELLS FARGO SECURITIES LLC		17,016	17,000	23	1FE
404225-BD-5	ABS - HSART 2013-T3 A3		07/27/2015	CREDIT SUISSE SECURITIES (USA)		99,422	100,000	75	1FE
404225-BM-5	ABS - HSART 2013-T5 AT5		09/21/2015	Morgan Stanley		51,878	52,000	26	1FE

E04

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE The Health Plan of the Upper Ohio Valley, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
42824C-AC-3	HP ENTERPRISE CO.		09/30/2015	CITIGROUP GLOBAL MARKETS INC.		314,597	315,000	0	2FE
42824C-AE-9	HP ENTERPRISE CO.		09/30/2015	CHASE SECURITIES INC.		294,917	295,000	0	2FE
44987C-AE-8	ING BANK NV	R	08/10/2015	HSBC SECURITIES INC.		309,811	310,000	0	1FE
453140-AC-9	IMPERIAL TOBACCO FINANCE	R	07/15/2015	BANC OF AMERICA SECURITIES LLC		313,520	315,000	0	2FE
458140-AQ-3	INTEL CORP		07/22/2015	WELLS FARGO SECURITIES LLC		464,563	465,000	0	1FE
46645C-AA-5	CMBS - JPMCC 2015-SGP A		09/28/2015	CHASE SECURITIES INC.		314,213	315,000	0	1FE
53944V-AJ-8	LLOYDS BANK PLC	R	08/10/2015	GOLDMAN, SACHS & CO.		309,389	310,000	0	1FE
580645-AJ-8	MCGRAW HILL FINANCIAL IN		08/13/2015	GOLDMAN, SACHS & CO.		59,930	60,000	0	2FE
629420-AA-1	ABS - NRART 2015-T1 AT1		08/25/2015	CREDIT SUISSE SECURITIES (USA)		459,000	459,000	0	1FE
63254A-AK-4	NATIONAL AUSTRALIA BK/NY	R	07/16/2015	CHASE SECURITIES INC.		314,918	315,000	0	1FE
65339K-AE-0	NEXTERA ENERGY CAPITAL		08/05/2015	GOLDMAN, SACHS & CO.		316,077	315,000	0	2FE
65557C-AQ-6	NORDEA BANK AB	R	09/09/2015	CREDIT SUISSE SECURITIES (USA)		299,166	300,000	0	1FE
69335P-BT-1	ABS - PFSFC 2014-AA A		07/28/2015	CHASE SECURITIES INC.		276,740	277,000	97	1FE
69335P-BX-2	ABS - PFSFC 2015-AA A		07/30/2015	WELLS FARGO SECURITIES LLC		274,598	276,000	124	1FE
69353R-ER-5	PNC BANK NA		07/16/2015	CHASE SECURITIES INC.		314,962	315,000	0	1FE
709629-AM-1	PENTAIR FINANCE SA	R	09/09/2015	CHASE SECURITIES INC.		309,814	310,000	0	2FE
74113E-AC-2	ABS - PART 2015-1 A3		09/24/2015	MORGAN STANLEY DW INC/ALGO/SOFT		214,684	216,000	129	1FE
80283H-AE-2	ABS - SDART 2013-4 C		08/26/2015	MORGAN STANLEY DW INC/ALGO/SOFT		408,922	400,000	578	1FE
80284M-AD-2	ABS - SDART 2015-4 A3		08/20/2015	WELLS FARGO SECURITIES LLC		314,956	315,000	0	1FE
80284M-AF-7	ABS - SDART 2015-4 C		08/20/2015	WELLS FARGO SECURITIES LLC		274,995	275,000	0	1FE
834016-AB-3	ABS - SOFI 2015-C A2		07/29/2015	Morgan Stanley		233,710	235,000	0	1FE
857477-AS-2	STATE STREET CORP.		08/13/2015	GOLDMAN, SACHS & CO.		224,487	225,000	0	1FE
86960B-AJ-1	SVENSKA HANDELSBANKEN AB	R	09/25/2015	DEUTSCHE BANK SECURITIES, INC.		384,623	385,000	0	1FE
884768-AF-9	THOMPSON CREEK METALS CO	J	08/19/2015	VARIOUS		99,399	105,000	1,645	4FE
891140-BG-4	TORONTO-DOMINION BANK	L	07/16/2015	BARCLAYS CAP/FIXED INCOME, NEW YORK		219,782	220,000	0	1FE
89171U-AA-7	CMO/RMBS - TPMT 2015-4 A1		09/25/2015	CHASE SECURITIES INC.		365,799	359,000	1,012	1FE
89171Y-AY-7	CMO/RMBS - TPMT 2015-2 2A1		07/01/2015	Adjustment		(10,628)	0	(15)	1FE
89236T-CP-8	TOYOTA MOTOR CREDIT CORP	R	07/08/2015	CHASE SECURITIES INC.		314,732	315,000	0	1FE
91324P-CL-4	UNITEDHEALTH GROUP INC		07/20/2015	CHASE SECURITIES INC.		314,600	315,000	0	1FE
91831E-AA-1	RMBS - VOLT 2015-NP10 A1		07/10/2015	CREDIT SUISSE SECURITIES (USA)		259,733	260,000	0	0
91831J-AA-0	ABS - VOLT XXXVII LLC		09/11/2015	CREDIT SUISSE SECURITIES (USA)		299,749	300,000	0	0
92890H-AE-2	WEA FINANCE LLC/WESTFIEL		09/28/2015	CITIGROUP GLOBAL MARKETS INC		313,920	315,000	0	2FE
984121-CM-3	XEROX CORPORATION		08/17/2015	CITIGROUP GLOBAL MARKETS INC		302,295	305,000	0	2FE
9TERM3-DO-0	OCI BEAUMONT		09/01/2015	VARIOUS		151,261	149,000	0	0
9TERM4-49-9	OSG INTERNATIONAL 0.00001% 3/07/19		09/01/2015	VARIOUS		209,634	209,879	0	0
3899999 - Bonds - Industrial and Miscellaneous (Unaffiliated)						16,812,228	16,899,805	15,080	XXX
8399997 - Subtotals - Bonds - Part 3						19,721,754	18,438,862	34,957	XXX
8399999 - Subtotals - Bonds						19,721,754	18,438,862	34,957	XXX
Common Stocks - Industrial and Miscellaneous									
258278-10-0	DORMAN PRODUCTS INC.		07/09/2015	RAYMOND JAMES & ASSOCIATES	2,095,000	98,807		0	L
30066A-10-5	EXAMWORKS GROUP INC.		07/07/2015	RAYMOND JAMES & ASSOCIATES	1,405,000	54,851		0	L
368736-10-4	GENERAC HOLDINGS INC.		07/02/2015	RAYMOND JAMES & ASSOCIATES	2,905,000	108,859		0	L
57063L-10-7	MARKETO INC.		07/09/2015	RAYMOND JAMES & ASSOCIATES	2,455,000	61,856		0	L
632307-10-4	NATERA INC.		07/10/2015	RAYMOND JAMES & ASSOCIATES	5,135,000	111,363		0	L
766582-10-0	RIGNET INC.		07/09/2015	RAYMOND JAMES & ASSOCIATES	1,590,000	46,925		0	L
9099999 - Common Stocks - Industrial and Miscellaneous (Unaffiliated)						482,662	XXX	0	XXX
Common Stocks - Mutual Funds									
00170K-51-3	AMG SOUTHERNSUN US EQTY-I		07/16/2015	US Bank	835,531,670	11,221,190		0	L
316345-30-5	FIDELITY LOW PRICED STOCK FD		09/14/2015	US Bank	219,259,130	11,610,087		0	L
524686-31-8	LEGG MASON BW GLOBL OP BD-IS		07/01/2015	US Bank	7,634,320	78,786		0	L
693390-60-1	PIMCO SHORT-TERM FUND-INSTL	R	09/01/2015	US Bank	4,124,980	40,435		0	L
922908-71-0	VANGUARD 500 INDEX FUND-ADM		09/23/2015	US Bank	556,910	100,555		0	L
9299999 - Common Stocks - Mutual Funds						23,051,054	XXX	0	XXX
9799997 - Subtotals - Common Stocks - Part 3						23,533,716	XXX	0	XXX
9799999 - Subtotals - Common Stocks						23,533,716	XXX	0	XXX
9899999 - Subtotals - Preferred and Common Stocks						23,533,716	XXX	0	XXX
9999999 Totals						43,255,470	XXX	34,957	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE The Health Plan of the Upper Ohio Valley, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)	
Bonds - U.S. Governments																						
36176F-4N-5	RMBS - G2 765229		09/20/2015	Direct		10,687	10,687	11,753	11,676	0	(1,017)	0	(1,017)	0	10,687	0	0	0	316	11/20/2062	1	
36176R-A7-7	RMBS - G2 773430		09/20/2015	Direct		14,055	14,055	15,244	15,007	0	(1,095)	0	(1,095)	0	14,055	0	0	0	409	12/20/2061	1	
36182H-QW-9	RMBS - G2 AH1369		09/20/2015	Direct		16,997	16,997	18,915	18,915	0	(1,917)	0	(1,917)	0	16,997	0	0	0	473	12/20/2064	1	
38374N-V5-3	CMBS - GNR 2006-63 B		09/16/2015	Direct		38,243	38,243	39,258	39,258	0	(1,016)	0	(1,016)	0	38,243	0	0	0	1,358	03/16/2038	1	
38376G-XR-6	CMBS - GNR 2010-148 AC		09/16/2015	Direct		29,405	29,405	31,978	31,978	0	(2,573)	0	(2,573)	0	29,405	0	0	0	1,532	12/16/2050	1	
38378B-ED-7	CMBS - GNR 2012-25 IO		09/16/2015	Adjustment		0	0	17,516	0	0	(17,516)	0	(17,516)	0	0	0	0	0	225	08/16/2052	1	
38378B-N6-2	CMBS - GNR 2012-125 IO		09/16/2015	Adjustment		0	0	26,907	0	0	(26,907)	0	(26,907)	0	0	0	0	0	254	02/16/2053	1	
38378B-SB-6	CMBS - GNR 2012-44 IO		09/16/2015	Adjustment		0	0	21,132	0	0	(21,132)	0	(21,132)	0	0	0	0	0	254	03/16/2049	1	
38378B-VA-4	CMBS - GNR 2012-58 IO		09/16/2015	Adjustment		0	0	15,792	0	0	(15,792)	0	(15,792)	0	0	0	0	0	170	02/16/2053	1	
38378B-WM-7	CMBS - GNR 2012-79 IO		09/16/2015	Adjustment		0	0	5,268	0	0	(5,268)	0	(5,268)	0	0	0	0	0	60	03/16/2053	1	
38378B-YY-9	CMBS - GNR 2012-85 IO		09/16/2015	Adjustment		0	0	7,947	0	0	(7,947)	0	(7,947)	0	0	0	0	0	85	03/16/2052	1	
38378K-2R-9	CMBS - GNR 2013-142 IO		09/16/2015	Adjustment		0	0	65	0	0	(65)	0	(65)	0	0	0	0	0	1	09/16/2051	1	
38378K-HC-6	CMBS - GNR 2013-55 A		09/16/2015	Direct		4,214	4,214	4,183	4,183	0	31	0	31	0	4,214	0	0	0	36	05/16/2034	1	
38378N-SW-9	CMBS - GNR 2014-77 IO		09/16/2015	Adjustment		0	0	197	0	0	(197)	0	(197)	0	0	0	0	0	2	12/16/2047	1	
38378X-2M-2	CMBS - GNR 2015-7 IO		09/16/2015	Adjustment		0	0	50	0	0	(50)	0	(50)	0	0	0	0	0	0	0	01/16/2057	1
38378X-J8-5	CMBS - GNR 2014-175 IO		09/16/2015	Adjustment		0	0	1,207	1,207	0	(1,207)	0	(1,207)	0	0	0	0	0	12	04/16/2056	1	
38378X-R9-4	CMBS/CMO - GN 14187 IO		09/16/2015	Adjustment		0	0	1,585	1,585	0	(1,585)	0	(1,585)	0	0	0	0	0	15	05/16/2056	1	
38378X-ZD-6	CMBS - GNR 2014-164 IO		09/16/2015	Adjustment		0	0	8,870	8,860	0	(8,860)	0	(8,860)	0	0	0	0	0	94	01/16/2056	1	
38379K-A9-9	CMBS/CMO - GN 15114 IO		09/16/2015	Adjustment		0	0	181	0	0	(181)	0	(181)	0	0	0	0	0	2	03/16/2057	1	
38379K-AT-5	CMBS - GNR 2015-19 IO		09/16/2015	Adjustment		0	0	1,396	0	0	(1,396)	0	(1,396)	0	0	0	0	0	13	01/16/2057	1	
38379K-EL-8	CMBS - GNR 2015-41 IO		09/16/2015	Adjustment		0	0	1,279	0	0	(1,279)	0	(1,279)	0	0	0	0	0	13	09/16/2056	1	
38379K-VU-9	CMBS - GNR 2015-101 IO		09/16/2015	Adjustment		0	0	492	0	0	(492)	0	(492)	0	0	0	0	0	5	03/16/2052	1	
38379K-YD-4	CMBS/CMO - GN 15108 IO		09/16/2015	Adjustment		0	0	58	0	0	(58)	0	(58)	0	0	0	0	0	0	10/16/2056	1	
912828-H7-8	US TREASURY N/B		09/30/2015	DEUTSCHE BANK SECURITIES, INC.		625,415	625,000	624,292	0	0	71	0	71	0	624,363	0	1,052	1,052	560	01/31/2017	1	
0599999 - Bonds - U.S. Governments						739,017	738,602	855,566	113,756	0	(117,448)	0	(117,448)	0	737,964	0	1,052	1,052	5,889	XXX	XXX	
Bonds - All Other Governments																						
000000-00-0																						
Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions																						
31283H-UY-9	RMBS - FG 601499		09/15/2015	Direct		2,219	2,219	2,254	2,254	0	(35)	0	(35)	0	2,219	0	0	0	109	01/01/2033	1	
31283K-XB-9	RMBS - FG 611574		09/15/2015	Direct		23,854	23,854	24,987	24,987	0	(1,133)	0	(1,133)	0	23,854	0	0	0	951	02/01/2019	1	
31283K-XR-4	RMBS - FG 611588		09/15/2015	Direct		25,964	25,964	27,944	27,944	0	(1,778)	0	(1,778)	0	25,964	0	0	0	919	06/01/2019	1	
3128ME-HL-8	RMBS - FG61		09/15/2015	Direct		46,976	46,976	50,096	50,096	0	(3,120)	0	(3,120)	0	46,976	0	0	0	588	11/01/2024	1	
3128PR-A6-6	RMBS - FG J11829		09/15/2015	Direct		14,665	14,665	14,988	15,502	0	(837)	0	(837)	0	14,665	0	0	0	380	03/01/2025	1	
3128Q2-CV-7	RMBS - FH 1L0087		09/15/2015	Direct		979	979	983	986	0	(7)	0	(7)	0	979	0	0	0	16	06/01/2035	1	
31292H-5P-5	RMBS - FG C01754		09/15/2015	Direct		3,340	3,340	3,364	3,364	0	(25)	0	(25)	0	3,340	0	0	0	126	01/01/2034	1	
31292H-ZN-7	RMBS - FG C01649		09/15/2015	Direct		3,414	3,414	3,644	3,644	0	(230)	0	(230)	0	3,414	0	0	0	125	10/01/2033	1	
312936-LM-7	RMBS - FG A89332		09/15/2015	Direct		5,241	5,241	5,486	5,486	0	(245)	0	(245)	0	5,241	0	0	0	171	10/01/2039	1	
31339L-G2-0	CMO/RMBS - FHR 2394 KD		09/15/2015	Direct		81,144	81,144	83,743	83,743	0	(2,599)	0	(2,599)	0	81,144	0	0	0	3,260	12/15/2016	1	
3136AJ-Y5-9	CMO/RMBS - FNR 2014-29 GA		09/25/2015	Direct		6,542	6,542	6,542	6,542	0	(82)	0	(82)	0	6,542	0	0	0	25	06/25/2043	1	
3136AK-SN-4	CMO/RMBS - FNR 2014-44 ET		09/25/2015	Adjustment		0	0	17,014	0	0	(17,014)	0	(17,014)	0	0	0	0	0	590	08/25/2029	1	
3136AL-T6-6	CMO/RMBS - FNR 2014-68 TL		09/25/2015	Direct		34,545	34,545	35,322	35,322	0	(777)	0	(777)	0	34,545	0	0	0	184	10/25/2043	1	
3136AL-Y2-1	CMO/RMBS - FNR 2014-80 GD		09/25/2015	Direct		28,403	28,403	28,244	28,244	0	160	0	160	0	28,403	0	0	0	396	02/25/2042	1	
31371K-2S-9	RMBS - FN 254685		09/25/2015	Direct		5,453	5,453	5,827	5,827	0	(373)	0	(373)	0	5,453	0	0	0	181	04/01/2018	1	
31371K-Y5-4	RMBS - FN 254632		09/25/2015	Direct		34,215	34,215	36,241	36,241	0	(2,026)	0	(2,026)	0	34,215	0	0	0	1,245	02/01/2018	1	
31371L-WT-2	RMBS - FN 255458		09/25/2015	Direct		3,191	3,191	3,231	3,231	0	(40)	0	(40)	0	3,191	0	0	0	117	11/01/2034	1	
31371N-2K-0	RMBS - FN 257378		09/25/2015	Direct		36,608	36,608	38,759	38,759	0	(2,151)	0	(2,151)	0	36,608	0	0	0	1,185	09/01/2018	1	
31371N-4M-4	RMBS - FN 257428		09/25/2015	Direct		20,407	20,407	21,606	21,606	0	(1,199)	0	(1,199)	0	20,407	0	0	0	678	10/01/2018	1	
31371N-V2-8	RMBS - FN 257233		09/25/2015	Direct		3,196	3,196	3,291	3,291	0	(94)	0	(94)	0	3,196	0	0	0	97	06/01/2023	1	
31376K-TA-4	RMBS - FN 357945		09/25/2015	Direct		13,789	13,789	14,952	14,952	0	(1,163)	0	(1,163)	0	13,789	0	0	0	584	09/01/2035	1	
3137A6-SX-1	CMO/RMBS - FHR 3812 BE		09/15/2015	Direct		31,974	31,974	32,803	32,803	0	(829)	0	(829)	0	31,974	0	0	0	583	09/15/2018	1	
3137AB-6F-3	CMO/RMBS - FHR 3852 EA		09/15/2015	Direct		24,709	24,709	26,168	26,081	0	(1,372)	0	(1,372)	0	24,709	0	0	0	730	12/15/2021	1	
3137AE-TZ-8	CMO/RMBS - FHR 3914 LI		09/15/2015	Adjustment		0	0	4,739	0	0	(4,739)	0	(4,739)	0	0	0	0	0	137	08/15/2026	1	
3137AN-WD-3	CMO/RMBS - FHR 4138 AI		09/15/2015	Adjustment		0	0	15,863	0	0	(15,863)	0	(15,863)	0	0	0	0	0	51	11/15/2022	1	
3137BD-A0-9	CMO/RMBS - FHR 4372 TI		09/15/2015	Adjustment		0	0	6,174	0	0	(6,174)	0	(6,174)	0	0	0	0	0	166	08/15/2029	1	
3137BF-EP-2	CMBS - FHMS KF06 A		09/25/2015	Direct		164	164	164	164	0	0	0	0	0	164	0	0	0	1	11/25/2021	1	
31385W-2S-7	RMBS - FN 555285		09/25/2015	Direct		3,379	3,379	3,465	3													

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE The Health Plan of the Upper Ohio Valley, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
31394A-EC-8	CMO/RMBS - FNR 2004-52 NE		09/25/2015	Direct		11,809	11,809	12,220	.0	.0	(411)	.0	(411)	.0	11,809	.0	.0	.0	.44	07/25/2033	1
31394B-VF-8	CMO/RMBS - FNR 2004-90 GA		08/25/2015	Direct		6,092	6,092	6,163	.0	.0	(1,141)	.0	(1,141)	.0	6,092	.0	.0	.0	.161	03/25/2034	1
31395G-ZU-9	CMO/RMBS - FHR 2874 BC		09/15/2015	Direct		19,608	19,608	20,846	.0	.0	(1,238)	.0	(1,238)	.0	19,608	.0	.0	.0	.653	10/15/2019	1
31396V-J9-1	CMO/RMBS - FNR 2009-65 GA		09/25/2015	Direct		14,584	14,584	14,839	.0	.0	(366)	.0	(366)	.0	14,584	.0	.0	.0	.435	11/25/2023	1
31396Y-40-8	CMO/RMBS - FNR 2007-73 A1		09/25/2015	Direct		4,303	4,303	4,163	.0	.0	140	.0	140	.0	4,303	.0	.0	.0	.7	07/25/2037	1
31397N-D0-5	CMO/RMBS - FNR 2009-15 MA		09/25/2015	Direct		47,264	47,264	49,302	.0	.0	(1,889)	.0	(1,889)	.0	47,264	.0	.0	.0	1,256	03/25/2024	1
31397R-C4-6	CMO/RMBS - FHR 3416 BJ		09/15/2015	Direct		7,728	7,728	8,129	.0	.0	(401)	.0	(401)	.0	7,728	.0	.0	.0	205	02/15/2023	1
31397S-YZ-2	CMO/RMBS - FNR 2011-40 DK		07/25/2015	Direct		14,848	14,848	14,955	.0	.0	(129)	.0	(129)	.0	14,848	.0	.0	.0	346	11/25/2037	1
31397U-AE-9	CMO/RMBS - FNR 2011-38 AH		09/25/2015	Direct		48,864	48,864	52,322	.0	.0	(3,458)	.0	(3,458)	.0	48,864	.0	.0	.0	892	05/25/2020	1
31398F-3T-6	CMO/RMBS - FNR 2009-88 DB		09/25/2015	Direct		13,293	13,293	13,729	.0	.0	(436)	.0	(436)	.0	13,293	.0	.0	.0	265	10/25/2020	1
31398M-5L-6	CMO/RMBS - FNR 2010-32 CL		09/25/2015	Direct		50,971	50,971	53,057	.0	.0	(2,087)	.0	(2,087)	.0	50,971	.0	.0	.0	1,255	08/25/2018	1
31398M-7H-3	CMO/RMBS - FNR 2010-69 EG		08/25/2015	Direct		78,153	78,153	88,714	.0	.0	(10,562)	.0	(10,562)	.0	78,153	.0	.0	.0	1,394	07/25/2024	1
31398P-K6-5	CMO/RMBS - FNR 2010-48 AP		09/25/2015	Direct		69,758	69,758	71,960	.0	.0	(2,202)	.0	(2,202)	.0	69,758	.0	.0	.0	1,989	06/25/2039	1
31398Q-NB-9	CMO/RMBS - FHR 3676 CA		09/15/2015	Direct		27,463	27,463	28,004	.0	.0	(541)	.0	(541)	.0	27,463	.0	.0	.0	720	07/15/2024	1
31398R-E7-6	CMO/RMBS - FNR 2010-57 DP		09/25/2015	Direct		50,019	50,019	51,519	.0	.0	(1,501)	.0	(1,501)	.0	50,019	.0	.0	.0	1,331	08/25/2039	1
31398S-LA-9	VA CMO/RMBS - FNR 2010-142		09/25/2015	Direct		73,144	73,144	73,704	.0	.0	(560)	.0	(560)	.0	73,144	.0	.0	.0	1,263	10/25/2023	1
31398S-RH-8	IO CMO/RMBS - FNR 2010-143		09/25/2015	---		.0	.0	20,033	.0	.0	(20,033)	.0	(20,033)	.0	.0	.0	.0	.0	1,058	12/25/2025	1
31402C-4J-8	RMBS - FN 725425		09/25/2015	Direct		6,970	6,970	7,098	.0	.0	(128)	.0	(128)	.0	6,970	.0	.0	.0	266	04/01/2034	1
31402D-DS-6	RMBS - FN 725613		09/25/2015	Direct		4,385	4,385	4,412	.0	.0	(28)	.0	(28)	.0	4,385	.0	.0	.0	161	06/01/2034	1
31402E-SH-7	RMBS - FN 728720		09/25/2015	Direct		2,905	2,905	3,043	.0	.0	(138)	.0	(138)	.0	2,905	.0	.0	.0	99	07/01/2033	1
31402Q-2Y-6	RMBS - FN 735291		09/25/2015	Direct		4,678	4,678	4,569	.0	.0	109	.0	109	.0	4,678	.0	.0	.0	138	03/01/2020	1
31402R-FV-6	RMBS - FN 735580		09/25/2015	Direct		1,804	1,804	1,861	.0	.0	(57)	.0	(57)	.0	1,804	.0	.0	.0	60	06/01/2035	1
31403C-4X-6	RMBS - FN 745238		09/25/2015	Direct		54,446	54,446	57,917	.0	.0	(3,471)	.0	(3,471)	.0	54,446	.0	.0	.0	2,176	12/01/2020	1
31403C-6L-0	RMBS - FN 745275		09/25/2015	Direct		13,731	13,731	14,039	.0	.0	(308)	.0	(308)	.0	13,731	.0	.0	.0	454	02/01/2036	1
31403C-XW-6	RMBS - FN 745093		09/25/2015	Direct		3,730	3,730	3,752	.0	.0	(22)	.0	(22)	.0	3,730	.0	.0	.0	140	12/01/2035	1
31403D-D4-8	RMBS - FN 745423		09/25/2015	Direct		6,637	6,637	6,757	.0	.0	(120)	.0	(120)	.0	6,637	.0	.0	.0	268	04/01/2036	1
31403D-GY-9	RMBS - FN 745515		09/25/2015	Direct		5,993	5,993	5,920	.0	.0	73	.0	73	.0	5,993	.0	.0	.0	200	05/01/2036	1
31406N-K4-5	RMBS - FN 814915		09/25/2015	Direct		6,988	6,988	7,029	.0	.0	(40)	.0	(40)	.0	6,988	.0	.0	.0	249	03/01/2035	1
31410G-AG-8	RMBS - FN 888407		09/25/2015	Direct		5,587	5,587	5,563	.0	.0	24	.0	24	.0	5,587	.0	.0	.0	184	09/01/2036	1
31410L-6L-0	RMBS - FN 890403		09/25/2015	Direct		55,983	55,983	59,552	.0	.0	(3,569)	.0	(3,569)	.0	55,983	.0	.0	.0	2,232	05/01/2023	1
31410R-CJ-6	RMBS - FN 894773		09/25/2015	Direct		4,970	4,970	5,212	.0	.0	(243)	.0	(243)	.0	4,970	.0	.0	.0	195	12/01/2036	1
31417Y-BR-7	RMBS - FN MA0047		09/25/2015	Direct		8,892	8,892	9,382	.0	.0	(490)	.0	(490)	.0	8,892	.0	.0	.0	269	04/01/2019	1
3199999 - Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions						1,523,499	1,523,499	1,661,499	1,174,076	.0	(139,773)	.0	(139,773)	.0	1,523,499	.0	.0	.0	41,910	XXX	XXX
Bonds - Industrial and Miscellaneous (Unaffiliated)																					
00182E-AX-7	ANZ NEW ZEALAND INTL/LDN	R	08/11/2015	---		317,961	315,000	314,739	.0	.0	.1	.0	.1	.0	314,740	.0	.0	.0	200	08/06/2020	1FE
00206R-CL-4	AT&T INC		08/07/2015	---		306,829	315,000	314,839	.0	.0	.12	.0	.12	.0	314,851	.0	.0	(8,022)	2,101	06/30/2020	2FE
002799-AV-6	ABBEY NATL TREASURY SERV	R	09/08/2015	---		120,095	120,000	119,650	.0	.0	.6	.0	.6	.0	119,655	.0	.0	440	113	08/24/2018	1FE
03027X-AF-7	AMERICAN TOWER CORP		08/07/2015	---		216,700	220,000	219,439	.0	.0	29	.0	29	.0	219,468	.0	(2,768)	(2,768)	1,626	06/01/2020	2FE
03063W-AE-7	ABS - AMCAR 2012-2 C		09/08/2015	Direct		72,006	72,006	73,041	.0	.0	(1,035)	.0	(1,035)	.0	72,006	.0	.0	.0	1,334	10/10/2017	1FE
03063X-AE-5	ABS - AMCAR 2012-4 B		09/08/2015	Direct		3,125	3,125	3,130	.0	.0	(5)	.0	(5)	.0	3,125	.0	.0	.0	14	11/08/2017	1FE
03064J-AC-9	ABS - AMCAR 2013-2 A3		09/08/2015	Direct		271,685	271,685	271,760	.0	.0	(82)	.0	(82)	.0	271,685	.0	.0	.0	1,169	12/08/2017	1FE
03064V-AB-4	ABS - AMCAR 2014-2 A2A		09/08/2015	Direct		104,868	104,868	104,770	.0	.0	98	.0	98	.0	104,868	.0	.0	.0	376	10/10/2017	1FE
064159-FK-7	BANK OF NOVA SCOTIA	I	08/05/2015	---		454,955	455,000	454,358	.0	.0	152	.0	152	.0	454,510	.0	.0	444	6,227	07/21/2017	1FE
06738E-AG-0	BARCLAYS PLC	R	07/16/2015	---		277,284	280,000	278,810	.0	.0	26	.0	26	.0	278,836	.0	(1,552)	(1,552)	962	06/08/2020	2FE
073880-AF-6	CMBS - BSCMS 2007-PW17		09/14/2015	Direct		603	603	647	.0	.0	(44)	.0	(44)	.0	603	.0	.0	.0	4	06/11/2050	1FM
12505N-AB-0	ABS - CCG 2014-1 A2		09/14/2015	Direct		16,714	16,714	16,710	.0	.0	.4	.0	.4	.0	16,714	.0	.0	.0	113	11/15/2021	1FE
12592B-AC-8	CNH INDUSTRIAL CAPITAL L	R	07/30/2015	---		171,594	170,000	169,391	.0	.0	.16	.0	.16	.0	169,407	.0	2,186	2,186	540	07/16/2018	3FE
126650-CH-1	CVS HEALTH CORP		07/28/2015	---		315,932	315,000	314,780	.0	.0	.2	.0	.2	.0	314,782	.0	1,151	1,151	183	07/20/2018	2FE
12673P-AF-2	CA INC		09/09/2015	---		156,197	155,000	154,847	.0	.0	.2	.0	.2	.0	154,849	.0	1,348	1,348	468	08/01/2020	2FE
13975D-AC-9	ABS - AFIN 2013-3 A3		08/12/2015	Direct		135,185	135,000	135,348	.0	.0	(187)	.0	(187)	.0	135,161	.0	24	24	1,164	12/20/2017	1FE
13975F-AB-6	ABS - AFIN 2013-4 A2		09/20/2015	Direct		80,128	80,128	80,241	.0	.0	(113)	.0	(113)	.0	80,128	.0	.0	.0	452	02/21/2017	1FE
14179G-AA-8	ABS - CFCAT 2015-1A A		09/15/2015	Direct		20,958	20,958	20,957	.0	.0	.1	.0	.1	.0	20,958	.0	.0	.0	173	06/15/2021	1FE
14313L-AC-0	ABS - CARMA 2013-1 A3		09/15/2015	Direct		71,797	71,797	71,719	.0	.0	.79	.0	.79	.0	71,797	.0	.0	.0	286	10/16/2017	1FE
14985W-AB-7	ABS - CCG 2013-1 A2																				

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE The Health Plan of the Upper Ohio Valley, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)	
165182-BA-3	ABS - CF 2012-1A A	09/08/2015	Direct		20,510	20,510	20,510	22,501	.0	(1,991)	.0	(1,991)	.0	20,510	.0	.0	.0	.0	128	11/07/2023	1FE
172967-JW-2	CITIGROUP INC.	08/11/2015	Direct		220,279	220,000	219,943	.0	.0	.1	.0	.1	.0	219,944	.0	336	336	177	07/30/2018	1FE	
17313K-AF-8	CMBS - CGCMT 2008-C7 A4	09/14/2015	Direct		.614	.614	.504	.504	.0	.09	.0	.09	.0	.614	.0	.0	.0	.0	25	12/10/2049	1FM
17322G-AA-7	CMO/RMBS - CMLTI 2014-A A	09/25/2015	Direct		15,442	15,442	16,011	.0	.0	(569)	.0	(569)	.0	15,442	.0	.0	.0	.0	76	01/25/2035	1FM
200339-DW-6	COMERICA BANK	08/07/2015	Direct		314,087	315,000	312,603	.0	.0	22	.0	22	.0	312,624	.0	1,462	1,462	1,531	06/02/2020	1FE	
20046F-AW-0	CMBS - COMM 2001-J2A C	09/16/2015	Direct		832	832	875	.0	.0	(44)	.0	(44)	.0	832	.0	.0	.0	.0	14	07/16/2034	1FE
20047Q-AE-5	CMBS - COMM 2006-C7 A4	09/10/2015	Direct		53,642	53,642	55,840	55,840	.0	(2,198)	.0	(2,198)	.0	53,642	.0	.0	.0	2,237	06/10/2046	1FM	
20173Q-AE-1	CMBS - GCCFC 2007-6G9 A4	09/14/2015	Direct		18,235	18,235	19,467	19,451	.0	(1,216)	.0	(1,216)	.0	18,235	.0	.0	.0	.0	661	03/10/2039	1FM
20173W-AE-8	CMBS - CMLT 2008-LS1 A4B	09/10/2015	Direct		21,622	21,622	19,847	19,810	.0	.0	.0	.0	.0	21,622	.0	.0	.0	.0	1,171	12/10/2049	1FM
22545L-AD-1	CMBS - CSMC 2006-C5 A3	09/17/2015	Direct		2,250	2,250	2,378	2,371	.0	(121)	.0	(121)	.0	2,250	.0	.0	.0	.0	83	12/15/2039	1FM
233046-AC-5	ABS - DNKN 2015-1A A21	08/20/2015	Direct		.263	.263	.263	.0	.0	.0	.0	.0	.0	.263	.0	.0	.0	.0	5	02/20/2045	2FE
23339U-AA-5	ABS - DTAOT 2014-1A A	07/15/2015	Direct		.977	.977	.977	.977	.0	.0	.0	.0	.0	.977	.0	.0	.0	.0	4	07/17/2017	1FE
23339X-AB-7	ABS - DTAOT 2013-2A B	09/15/2015	Direct		57,178	57,178	57,290	.0	.0	(112)	.0	(112)	.0	57,178	.0	.0	.0	.0	509	06/15/2017	1FE
24422E-SJ-9	JOHN DEERE CAPITAL CORP.	07/24/2015	Direct		275,011	275,000	275,283	275,283	.0	(139)	.0	(139)	.0	275,144	.0	(132)	(132)	663	02/25/2016	1FE	
24422E-SX-8	JOHN DEERE CAPITAL CORP.	08/13/2015	Direct		314,348	315,000	314,880	.0	.0	.4	.0	.4	.0	314,884	.0	(536)	(536)	476	07/13/2018	1FE	
29273R-BH-1	ENERGY TRANSFER PARTNERS	08/13/2015	Direct		199,358	200,000	199,892	.0	.0	.5	.0	.5	.0	199,897	.0	(539)	(539)	764	06/15/2018	2FE	
29372E-BE-7	ABS - EFF 2014-2 A2	09/20/2015	Direct		47,946	47,946	47,936	.0	.0	.10	.0	.10	.0	47,946	.0	.0	.0	145	03/20/2020	1FE	
29977H-AD-2	EP ENER/EVEREST ACO FIN.	08/01/2015	Adjustment		.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	(21,144)	(21,144)	21,144	05/01/2019	4FE	
301657-AA-0	ABS - EART 2013-1A A	09/15/2015	Direct		9,495	9,495	9,495	10,678	.0	(1,183)	.0	(1,183)	.0	9,495	.0	.0	.0	.0	82	10/16/2017	1FE
30165P-AA-0	ABS - EART 2013-2A A	09/15/2015	Direct		114,516	114,516	125,101	108,034	.0	(6)	.0	(6)	.0	114,516	.0	.0	.0	.0	1,117	11/15/2017	1FE
30165Q-AA-8	ABS - EART 2014-1A A	09/15/2015	Direct		89,889	89,889	89,846	.0	.0	.43	.0	.43	.0	89,889	.0	.0	.0	.0	880	05/15/2018	1FE
32058H-AC-0	ABS - FIAOT 2014-3A A2	09/15/2015	Direct		99,442	99,442	99,442	.0	.0	.0	.0	.0	.0	99,442	.0	.0	.0	.0	694	11/15/2018	1FE
34529R-AE-1	ABS - FORDO 2011-B B	09/15/2015	Direct		114,059	114,059	115,467	115,467	.0	(1,408)	.0	(1,408)	.0	114,059	.0	.0	.0	.0	1,941	01/15/2017	1FE
34964C-AB-2	FORTUNE BRANDS HOME & SE	07/28/2015	Direct		129,969	130,000	129,964	.0	.0	.1	.0	.1	.0	129,964	.0	.0	.0	.0	442	06/15/2020	2FE
35671D-BK-0	FREEMPORT-MCMORAN INC.	09/28/2015	Direct		50,050	50,000	55,090	55,089	.0	(22)	.0	(22)	.0	55,067	.0	(5,017)	(5,017)	1,114	11/14/2017	2FE	
36228C-WX-7	CMBS - GSMS 2006-6G6 A4	09/14/2015	Direct		58,282	58,282	56,314	56,314	.0	1,968	.0	1,968	.0	58,282	.0	.0	.0	2,222	04/10/2038	1FM	
36246L-AE-1	CMBS - GSMS 2007-6G10 A4	09/14/2015	Direct		.434	.434	.427	.426	.0	.8	.0	.8	.0	.434	.0	.0	.0	.0	17	08/10/2045	1FM
37045X-AR-7	GENERAL MOTORS FINL CO	07/20/2015	Direct		238,550	240,000	239,712	.0	.0	.29	.0	.29	.0	239,741	.0	(1,190)	(1,190)	4,011	01/15/2020	2FE	
423074-BH-5	HJ HEINZ CO	07/30/2015	Direct		474,929	475,000	474,050	.0	.0	.28	.0	.28	.0	474,078	.0	.0	.0	851	07/02/2018	2FE	
453140-AC-9	IMPERIAL TOBACCO FINANCE	07/30/2015	Direct		314,115	315,000	313,520	.0	.0	.18	.0	.18	.0	313,538	.0	.0	.0	577	07/20/2018	2FE	
458140-AQ-3	INTEL CORP.	09/09/2015	Direct		236,927	235,000	234,779	.0	.0	.5	.0	.5	.0	234,784	.0	2,143	2,143	720	07/29/2020	1FE	
46629P-AC-2	CMBS - JPMCC 2006-LDP9 A3	09/15/2015	Direct		890	890	946	945	.0	(55)	.0	(55)	.0	890	.0	.0	.0	.0	32	05/15/2047	1FM
46629Y-AC-3	CMBS - JPMCC 2007-CB18 A4	09/12/2015	Direct		858	858	923	912	.0	(54)	.0	(54)	.0	858	.0	.0	.0	.0	31	06/12/2047	1FM
46630J-AC-3	CMBS - JPMCC 2007-LDPX A3	09/15/2015	Direct		450	450	417	419	.0	.31	.0	.31	.0	450	.0	.0	.0	.0	16	01/15/2049	1FM
50180J-AD-7	CMBS - LBUBS 2007-C2 A3	09/17/2015	Direct		354	354	379	379	.0	(25)	.0	(25)	.0	354	.0	.0	.0	.0	13	02/15/2040	1FM
52109P-AE-5	CMBS - LBUBS 2007-C6 A4	09/17/2015	Direct		26,099	26,099	25,671	25,718	.0	.381	.0	.381	.0	26,099	.0	.0	.0	.0	895	07/15/2040	1FM
55312Y-AE-2	CMBS - MLCFC 2007-5 A4	09/14/2015	Direct		3,496	3,496	3,718	3,714	.0	(218)	.0	(218)	.0	3,496	.0	.0	.0	.0	125	08/12/2048	1FM
58013M-ET-7	MCDONALD'S CORP.	08/07/2015	Direct		310,949	315,000	314,408	.0	.0	.24	.0	.24	.0	314,432	.0	(3,483)	(3,483)	1,463	05/26/2020	1FE	
60689L-AC-9	ABS - MNAF 2013-AA A3	09/01/2015	Direct		241,927	242,000	242,246	.0	.0	(72)	.0	(72)	.0	242,174	.0	(247)	(247)	838	12/11/2017	1FE	
61530T-AB-3	CMBS - TOPRE 2013-LTR1 B	09/20/2015	Direct		17,630	17,630	17,641	.0	.0	(11)	.0	(11)	.0	17,630	.0	.0	.0	.0	393	11/20/2028	1FM
61749M-AV-1	CMBS - MSC 2006-T23 A4	09/12/2015	Direct		3,731	3,731	3,938	3,914	.0	(183)	.0	(183)	.0	3,731	.0	.0	.0	.0	149	08/12/2041	1FM
61754J-AF-5	CMBS - MSC 2007-T27 A4	09/14/2015	Direct		427	427	465	465	.0	(38)	.0	(38)	.0	427	.0	.0	.0	.0	17	06/11/2042	1FM
61757L-AE-0	CMBS - MSC 2008-T29 A4	09/14/2015	Direct		2,043	2,043	2,286	2,278	.0	(236)	.0	(236)	.0	2,043	.0	.0	.0	.0	86	01/11/2043	1FM
62942W-AA-8	N671US TRUST	09/15/2015	Direct		2,960	2,960	2,967	.0	.0	(7)	.0	(7)	.0	2,960	.0	.0	.0	.0	89	09/15/2020	1FM
63861F-AA-0	ABS - NHLT 2014-1A A	09/25/2015	Direct		23,986	23,986	23,986	22,741	.0	.0	.0	.0	.0	23,986	.0	.0	.0	.0	701	11/25/2017	1FE
65339K-AB-6	NEXTERA ENERGY CAPITAL	08/13/2015	Direct		314,694	315,000	316,150	.0	.0	(155)	.0	(155)	.0	315,995	.0	(1,300)	(1,300)	1,402	06/01/2017	2FE	
65619A-AA-1	RMBS - NMLT 2013-NPL3 A	09/16/2015	Direct		110,014	110,014	109,849	.0	.0	.165	.0	.165	.0	110,014	.0	.0	.0	.0	2,797	09/16/2043	1FE
68268N-AN-3	ONEOK PARTNERS LP	07/23/2015	Direct		316,005	310,000	309,944	.0	.0	.3	.0	.3	.0	309,947	.0	6,058	6,058	4,188	03/15/2020	2FE	
69353R-ER-5	PNC BANK NA	08/03/2015	Direct		315,756	315,000	314,962	.0	.0	.1	.0	.1	.0	314,963	.0	.0	.0	793	07/20/2018	1FE	
71647N-AC-3	PETROBRAS GLOBAL FINANCE	09/30/2015	Direct		120,125	125,000	122,331	122,331	.0	1,451	.0	1,451	.0	123,783	.0	(3,658)	(3,658)	2,188	05/20/2016	3FE	
74113A-AC-0	ABS - PART 2014-1A A2	09/15/2015	Direct		104,658	104,658	104,693	82,667	.0	(34)	.0	(34)	.0	104,658	.0	.0	.0	.0	639	03/15/2018	1FE
74967C-AA-7	CMO - RMAT 2015-PR1 LLC	09/01/2015	Direct		8,748	8,748	8,748	.0	.0	.0	.0	.0	.0	8,748	.0	.0	.0	.0	33	06/25/2035	1FM
74977E-PY-3	RABOBANK NEDERLAND NY	07/30/2015	Direct		250,580	250,000	251,046	251,038	.0												

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE The Health Plan of the Upper Ohio Valley, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
80283B-AD-7	ABS - SDART 2012-AA B		09/15/2015	Direct		42,281	42,281	42,328	.0	.0	(46)	.0	(46)	.0	42,281	.0	.0	.0	254	10/16/2017	1FE
80283C-AE-3	ABS - SDART 2012-6 C		09/15/2015	Direct		116,188	116,188	117,132	117,132	.0	(944)	.0	(944)	.0	116,188	.0	.0	.0	1,504	03/15/2018	1FE
80283D-AD-3	ABS - SDART 2013-2 B		09/15/2015	Direct		8,183	8,183	8,200	.0	.0	(17)	.0	(17)	.0	8,183	.0	.0	.0	45	03/15/2018	1FE
80283F-AD-8	ABS - SDART 2013-1 B		09/15/2015	Direct		18,358	18,358	18,373	.0	.0	(15)	.0	(15)	.0	18,358	.0	.0	.0	71	01/15/2019	1FE
80284A-AB-2	ABS - SDART 2014-5 A2A		09/15/2015	Direct		120,146	120,146	120,135	120,135	.0	.11	.0	.11	.0	120,146	.0	.0	.0	578	04/16/2018	1FE
80284A-AF-3	ABS - SDART 2014-5 C		09/30/2015	VARIOUS		226,059	225,000	224,970	224,970	.0	.8	.0	.8	.0	224,978	.0	1,081	1,081	4,459	06/15/2020	1FE
81211K-AX-8	SEALED AIR CORP		07/14/2015	Morgan Stanley		35,945	35,000	35,000	.0	.0	.0	.0	.0	35,000	.0	.0	945	945	166	09/15/2025	4FE
834016-AB-3	ABS - SOFI 2015-C A2		09/25/2015	Direct		3,845	3,845	3,824	.0	.0	21	.0	21	.0	3,845	.0	.0	.0	0	14/08/2033	1FE
83401L-AB-0	ABS - SOFI 2015-A A2		09/25/2015	Direct		7,605	7,605	7,603	.0	.0	1	.0	1	.0	7,605	.0	.0	.0	103	03/25/2030	1FE
842587-CM-7	SOUTHERN CO		08/07/2015	WELLS FARGO SECURITIES LLC		219,736	220,000	219,622	209,100	.0	12	.0	12	.0	219,634	.0	102	102	1,008	06/15/2020	1FE
852060-AG-7	SPRINT CAPITAL CORP		09/16/2015	GOLDMAN, SACHS & CO.		198,442	205,000	212,688	209,100	.0	(588)	.0	(588)	.0	208,512	.0	(10,070)	(10,070)	12,573	05/01/2019	4FE
857477-AS-2	STATE STREET CORP		09/08/2015	BANC OF AMERICA SECURITIES LLC		115,936	115,000	114,738	.0	.0	3	.0	3	.0	114,741	.0	1,195	1,195	187	08/18/2020	1FE
86777B-AA-3	RMBS - SMLC 2014-NPL2 A		09/17/2015	Direct		65,654	65,654	65,654	65,654	.0	.0	.0	.0	.0	65,654	.0	.0	.0	1,687	11/16/2044	1FE
871829-AR-8	SYSCO CORPORATION		08/01/2015	VARIOUS		305,000	305,000	306,060	306,063	.0	(200)	.0	(200)	.0	305,853	.0	(853)	(853)	6,514	10/02/2017	1FE
873050-CK-5	TTX CO		08/07/2015	CHASE SECURITIES INC.		313,485	315,000	314,984	.0	.0	1	.0	1	.0	314,985	.0	(1,500)	(1,500)	1,456	06/15/2020	2FE
891140-B6-4	TORONTO-DOMINION BANK		07/23/2015	NOMURA SECURITIES/FIXED INCOME		219,712	220,000	219,782	.0	.0	1	.0	1	.0	219,783	.0	(71)	(71)	53	07/23/2018	1FE
89171Y-AY-7	2A1		09/25/2015	Direct		31,402	31,402	32,162	.0	.0	(761)	.0	(761)	.0	31,402	.0	.0	.0	174	11/25/2057	1FE
89236U-AB-8	Toys R US Property		08/01/2015	Adjustment		55,792	61,041	57,235	.0	.0	345	.0	345	.0	57,580	.0	(1,788)	(1,788)	2,870	08/21/2019	4FE
90332U-AR-4	US AIRWAYS 1999-1C PASS		07/20/2015	VARIOUS		21,813	21,813	20,395	20,395	.0	1,418	.0	1,418	.0	21,813	.0	.0	.0	1,736	07/20/2019	3FE
91324P-CL-4	UNITEDHEALTH GROUP INC		09/25/2015	INC.		317,545	315,000	314,600	.0	.0	24	.0	24	.0	314,624	.0	2,921	2,921	1,114	07/16/2018	1FE
91827W-AA-9	RMBS - VOLT 2015-NPL1 A1		09/25/2015	Direct		19,093	19,093	19,093	.0	.0	.0	.0	.0	.0	19,093	.0	.0	.0	396	10/25/2057	1FE
91830D-AJ-5	RMBS - VOLT 2014-NPL1 A1		09/25/2015	Direct		82,283	82,283	82,198	82,198	.0	85	.0	85	.0	82,283	.0	.0	.0	2,049	04/25/2055	1FE
91830L-AJ-7	RMBS - VOLT 2015-NPL8 A1		09/25/2015	Direct		29,209	29,209	29,176	.0	.0	32	.0	32	.0	29,209	.0	.0	.0	196	06/26/2045	1FE
91830V-AA-4	RMBS - VOLT 2015-NPL5 A1		09/25/2015	VARIOUS		28,634	28,634	28,605	.0	.0	29	.0	29	.0	28,634	.0	.0	.0	401	03/25/2055	1FE
91831C-AA-5	RMBS - VOLT 2015-NPL7 A1		09/29/2015	Direct		11,824	11,824	11,812	.0	.0	12	.0	12	.0	11,824	.0	.0	.0	131	02/25/2055	1FE
91831E-AA-1	RMBS - VOLT 2015-NP10 A1		09/25/2015	Direct		5,169	5,169	5,163	.0	.0	5	.0	5	.0	5,169	.0	.0	.0	20	07/25/2045	1FE
92871E-AA-0	RMBS - VOLT 2015-NPL2 A1		09/25/2015	Direct		23,657	23,657	23,631	.0	.0	26	.0	26	.0	23,657	.0	.0	.0	452	02/25/2055	1FE
92871F-AA-7	RMBS - VOLT 2015-NPL9 A1		09/25/2015	Direct		25,600	25,600	25,576	.0	.0	24	.0	24	.0	25,600	.0	.0	.0	137	06/26/2045	1FE
92876-AB-5	ABS - VFET 2015-1A A2		09/09/2015	WELLS FARGO SECURITIES LLC		372,840	373,000	372,969	.0	.0	13	.0	13	.0	372,982	.0	(142)	(142)	1,732	11/15/2017	1FE
92890X-AA-5	CMBS - WFCG 2015-BXRP A		08/15/2015	Direct		34,703	34,703	34,659	.0	.0	43	.0	43	.0	34,703	.0	.0	.0	135	11/15/2029	1FE
92978N-AE-4	CMBS - WBCMT 2007-C33 A4		09/17/2015	Direct		5,059	5,059	4,491	4,157	.0	901	.0	901	.0	5,059	.0	.0	.0	193	02/15/2051	1FM
92978P-AE-9	CMBS - WBCMT 2006-C29 A4		09/17/2015	Direct		329	329	334	334	.0	(5)	.0	(5)	.0	329	.0	.0	.0	12	11/15/2048	1FM
92979F-AD-2	CMBS - WBCMT 2007-C34 A3		09/17/2015	Direct		21,777	21,777	23,506	8,488	.0	(1,694)	.0	(1,694)	.0	21,777	.0	.0	.0	527	05/15/2046	1FM
96328D-AC-8	ABS - WHLS 2014-1A A2		09/20/2015	Direct		68,541	68,541	68,300	68,300	.0	241	.0	241	.0	68,541	.0	.0	.0	378	03/20/2023	1FE
984121-CM-3	XEROX CORPORATION		09/29/2015	VARIOUS		200,554	200,000	198,226	.0	.0	31	.0	31	.0	198,257	.0	2,297	2,297	652	08/20/2020	2FE
989194-AG-0	ZAYO GROUP LLC/ZAYO CAP		08/01/2015	Adjustment		.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	(19,894)	(19,894)	19,894	01/01/2020	1FE
9TERM4-49-9	0.00001% 3/07/19		09/01/2015	Adjustment		1,157	1,157	1,146	1,125	.0	6	.0	6	.0	1,131	.0	26	26	35	03/07/2019	1FE
9TERM4-6X-4	0.00001% 10/01/21		08/01/2015	Adjustment		257,038	257,605	249,787	.0	.0	575	.0	575	.0	250,362	.0	6,676	6,676	7,891	10/01/2021	1FE
3899999 - Bonds - Industrial and Miscellaneous (Unaffiliated)						13,048,369	13,118,976	13,115,070	4,117,896	0	(4,500)	0	(4,500)	0	13,099,131	0	(50,762)	(50,762)	155,582	XXX	XXX
8399997 - Subtotals - Bonds - Part 4						15,310,885	15,381,077	15,632,134	5,405,728	0	(261,721)	0	(261,721)	0	15,360,595	0	(49,709)	(49,709)	203,381	XXX	XXX
8399999 - Subtotals - Bonds						15,310,885	15,381,077	15,632,134	5,405,728	0	(261,721)	0	(261,721)	0	15,360,595	0	(49,709)	(49,709)	203,381	XXX	XXX
Common Stocks - Industrial and Miscellaneous (Unaffiliated)																					
004397-10-5	ACCURAY INC		07/10/2015	RAYMOND JAMES & ASSOCIATES		15,200,000	102,448	141,166	102,454	26,226	.0	.0	26,226	.0	141,166	.0	(38,719)	(38,719)	.0	XXX	J
004498-10-1	ACI WORLDWIDE INC		07/10/2015	RAYMOND JAMES & ASSOCIATES		20,630,000	492,281	276,147	382,423	(139,938)	.0	.0	(139,938)	.0	276,147	.0	216,134	216,134	.0	XXX	J
009728-10-6	AKORN INC		07/10/2015	RAYMOND JAMES & ASSOCIATES		8,025,000	343,757	162,757	234,576	(143,550)	.0	.0	(143,550)	.0	162,757	.0	181,001	181,001	.0	XXX	J
016255-10-1	ALIGN TECHNOLOGY INC		07/10/2015	RAYMOND JAMES & ASSOCIATES		5,375,000	337,995	121,507	300,516	(179,009)	.0	.0	(179,009)	.0	121,507	.0	216,488	216,488	.0	XXX	J
04685W-10-3	ATHENAHEALTH INC		07/10/2015	RAYMOND JAMES & ASSOCIATES		2,830,000	324,947	228,989	250,804	(153,981)	.0	.0	(153,981)	.0	228,989	.0	95,959	95,959	.0	XXX	J
09073M-10-4	BIO-TECHNE CORP		07/10/2015	RAYMOND JAMES & ASSOCIATES		3,895,000	384,054	291,624	261,030	(71,537)	.0	.0	(71,537)	.0	291,624	.0	92,430	92,430	2,150	XXX	J
100557-10-7	BOSTON BEER COMPANY INC-A		07/10/2015	RAYMOND JAMES & ASSOCIATES		1,765,000	398,391	385,078	408,251	(114,162)	.0	.0	(114,162)	.0	385,078	.0	13,313	13,313	.0	XXX	J
11133B-40-9	BROADSOFT INC		07/10/2015	RAYMOND JAMES & ASSOCIATES		11,975,000	405,051	353,863	217,940	(16,875)	.0	.0	(16,875)	.0	353,863	.0	51,188	51,188	.0	XXX	J
125134-10-6	CEB Inc		07/10/2015	RAYMOND JAMES & ASSOCIATES		6,495,000	566,100	225,245	446,785	(247,939)	.0	.0	(247,939)	.0	225,245	.0	340,855	340,855	4,871	XXX	J
161150-30-8	CHART INDUSTRIES INC		07/10/2015	RAYMOND JAMES & ASSOCIATES		10,000,000	336,657	605,795	262,996	258,641	.0	.0	258,641	.0							

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Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

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										11	12	13	14	15							
CUSIP Identification	Description	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)	
243537-10-7	DECKERS OUTDOOR CORP.	07/10/2015	RAYMOND JAMES & ASSOCIATES	4,450,000	324,975	XXX	286,590	309,991	(100,052)	0	0	(100,052)	0	286,590	0	38,385	38,385	0	XXX	L	
25389M-07-7	DIGITALGLOBE INC.	07/10/2015	RAYMOND JAMES & ASSOCIATES	9,126,000	236,570	XXX	281,516	0	0	0	0	0	0	281,516	0	(42,946)	(42,946)	0	XXX	L	
256278-10-0	DORMAN PRODUCTS INC.	07/10/2015	RAYMOND JAMES & ASSOCIATES	4,765,000	227,724	XXX	232,378	0	0	0	0	0	0	232,378	0	(4,654)	(4,654)	0	XXX	L	
29266S-10-6	ENDOLOGIX INC.	07/10/2015	RAYMOND JAMES & ASSOCIATES	7,745,000	117,264	XXX	120,017	0	0	0	0	0	0	120,017	0	(2,753)	(2,753)	0	XXX	L	
29404K-10-6	ENVENET INC.	07/10/2015	RAYMOND JAMES & ASSOCIATES	7,315,000	313,246	XXX	316,081	226,535	(48,658)	0	0	(48,658)	0	316,081	0	(2,835)	(2,835)	0	XXX	L	
30066A-10-5	EXAMWORKS GROUP INC.	07/10/2015	RAYMOND JAMES & ASSOCIATES	1,405,000	54,641	XXX	54,851	0	0	0	0	0	0	54,851	0	(210)	(210)	0	XXX	L	
31660B-10-1	FIESTA RESTAURANT GROUP	07/10/2015	RAYMOND JAMES & ASSOCIATES	4,115,000	201,566	XXX	211,461	0	0	0	0	0	0	211,461	0	(9,895)	(9,895)	0	XXX	L	
31748S-10-0	FINANCIAL ENGINES INC.	07/10/2015	RAYMOND JAMES & ASSOCIATES	14,255,000	611,235	XXX	378,163	449,748	(152,591)	0	0	(152,591)	0	378,163	0	233,072	233,072	2,666	XXX	L	
33767E-10-3	FIRSTSERVICE CORP.	07/10/2015	RAYMOND JAMES & ASSOCIATES	7,715,000	219,228	XXX	69,832	0	0	0	0	0	0	69,832	0	149,396	149,396	579	XXX	L	
368736-10-4	GENERIC HOLDINGS INC.	07/10/2015	RAYMOND JAMES & ASSOCIATES	2,905,000	104,674	XXX	108,859	0	0	0	0	0	0	108,859	0	(4,185)	(4,185)	0	XXX	L	
371559-10-5	A.	07/10/2015	RAYMOND JAMES & ASSOCIATES	3,384,000	245,727	XXX	314,692	161,856	2,315	0	0	2,315	0	314,692	0	(68,964)	(68,964)	0	XXX	L	
371901-10-9	GENTEX CORP.	07/17/2015	RAYMOND JAMES & ASSOCIATES	21,525,000	348,623	XXX	159,153	344,861	(228,393)	0	0	(228,393)	0	159,153	0	189,470	189,470	4,962	XXX	L	
380237-10-7	GODADDY INC - CLASS A	07/10/2015	RAYMOND JAMES & ASSOCIATES	5,970,000	159,811	XXX	156,801	0	0	0	0	0	0	156,801	0	3,010	3,010	0	XXX	L	
428291-10-8	HEXCEL CORP.	07/10/2015	RAYMOND JAMES & ASSOCIATES	9,920,000	484,152	XXX	260,823	387,102	(155,457)	0	0	(155,457)	0	260,823	0	223,330	223,330	1,866	XXX	L	
428567-10-1	HIBBETT SPORTS INC.	07/10/2015	RAYMOND JAMES & ASSOCIATES	6,160,000	283,608	XXX	314,537	206,312	17,134	0	0	17,134	0	314,537	0	(30,929)	(30,929)	0	XXX	L	
437390-10-0	HOMEAWAY INC.	07/10/2015	RAYMOND JAMES & ASSOCIATES	12,435,000	379,874	XXX	373,046	0	0	0	0	0	0	373,046	0	6,827	6,827	0	XXX	L	
45256B-10-1	IMPAX LABORATORIES INC.	07/10/2015	RAYMOND JAMES & ASSOCIATES	7,885,000	371,339	XXX	301,353	106,128	(3,620)	0	0	(3,620)	0	301,353	0	69,986	69,986	0	XXX	L	
45667G-10-3	INFNERA CORP.	07/10/2015	RAYMOND JAMES & ASSOCIATES	10,540,000	211,087	XXX	203,715	0	0	0	0	0	0	203,715	0	7,372	7,372	0	XXX	L	
45885A-30-0	INTERMAP CORP.	07/10/2015	RAYMOND JAMES & ASSOCIATES	26,255,000	247,921	XXX	144,935	143,121	(76,777)	0	0	(76,777)	0	144,935	0	102,987	102,987	0	XXX	L	
501570-10-5	KYATHERA	07/07/2015	RAYMOND JAMES & ASSOCIATES	3,070,000	229,586	XXX	124,007	50,113	(927)	0	0	(927)	0	124,007	0	105,579	105,579	0	XXX	L	
54142L-10-9	LOGMEIN INC.	07/10/2015	RAYMOND JAMES & ASSOCIATES	11,085,000	697,327	XXX	371,748	496,360	(178,951)	0	0	(178,951)	0	371,748	0	325,579	325,579	0	XXX	L	
57060D-10-8	MARKETAXESS HOLDINGS INC.	07/10/2015	RAYMOND JAMES & ASSOCIATES	7,940,000	792,771	XXX	121,823	544,996	(452,361)	0	0	(452,361)	0	121,823	0	670,948	670,948	3,040	XXX	L	
57063L-10-7	MARKETO INC.	07/10/2015	RAYMOND JAMES & ASSOCIATES	6,440,000	160,647	XXX	181,780	0	0	0	0	0	0	181,780	0	(21,133)	(21,133)	0	XXX	L	
57722W-10-6	MATTRESS FIRM HOLDING CORP.	07/10/2015	RAYMOND JAMES & ASSOCIATES	2,260,000	134,356	XXX	132,367	0	0	0	0	0	0	132,367	0	1,989	1,989	0	XXX	L	
584688-10-5	MEDICINES COMPANY	07/10/2015	RAYMOND JAMES & ASSOCIATES	6,375,000	211,259	XXX	188,769	0	0	0	0	0	0	188,769	0	22,489	22,489	0	XXX	L	
58471A-10-5	MEDIDATA SOLUTIONS INC.	07/10/2015	RAYMOND JAMES & ASSOCIATES	10,345,000	572,051	XXX	438,931	448,850	(54,306)	0	0	(54,306)	0	438,931	0	133,120	133,120	0	XXX	L	
632307-10-4	NATERA INC.	07/10/2015	RAYMOND JAMES & ASSOCIATES	5,135,000	110,276	XXX	111,363	0	0	0	0	0	0	111,363	0	(1,087)	(1,087)	0	XXX	L	
64157F-10-3	NEURO CORP.	07/10/2015	RAYMOND JAMES & ASSOCIATES	4,495,000	217,658	XXX	230,908	0	0	0	0	0	0	230,908	0	(13,250)	(13,250)	0	XXX	L	
650111-10-7	NEW YORK TIMES CO-A	07/10/2015	RAYMOND JAMES & ASSOCIATES	25,270,000	333,414	XXX	397,809	263,739	60,496	0	0	60,496	0	397,809	0	(64,395)	(64,395)	1,681	XXX	L	
691497-30-9	OXFORD INDUSTRIES INC.	07/10/2015	RAYMOND JAMES & ASSOCIATES	6,200,000	529,981	XXX	170,047	277,430	(181,732)	0	0	(181,732)	0	170,047	0	359,935	359,935	2,487	XXX	L	
698354-10-7	PANDORA MEDIA INC.	07/10/2015	RAYMOND JAMES & ASSOCIATES	13,440,000	201,228	XXX	149,134	177,854	(86,613)	0	0	(86,613)	0	149,134	0	52,094	52,094	0	XXX	L	
743713-10-9	PROTO LABS INC.	07/10/2015	RAYMOND JAMES & ASSOCIATES	4,310,000	297,952	XXX	310,666	257,894	19,441	0	0	19,441	0	310,666	0	(12,714)	(12,714)	0	XXX	L	
75606N-10-9	REALPAGE INC.	07/10/2015	RAYMOND JAMES & ASSOCIATES	12,170,000	232,390	XXX	226,816	215,428	(37,223)	0	0	(37,223)	0	226,816	0	5,574	5,574	0	XXX	L	
760174-10-2	RENTRAX CORP.	07/10/2015	RAYMOND JAMES & ASSOCIATES	5,745,000	388,552	XXX	310,516	0	0	0	0	0	0	310,516	0	78,037	78,037	0	XXX	L	
761283-10-0	RESTORATION HARDWARE HOLDING	07/10/2015	RAYMOND JAMES & ASSOCIATES	4,900,000	477,633	XXX	319,433	453,167	(149,527)	0	0	(149,527)	0	319,433	0	158,200	158,200	0	XXX	L	
766582-10-0	RIGNET INC.	07/10/2015	RAYMOND JAMES & ASSOCIATES	5,355,000	161,152	XXX	181,917	0	0	0	0	0	0	181,917	0	(20,765)	(20,765)	0	XXX	L	
78463M-10-7	SPS COMMERCE INC.	07/10/2015	RAYMOND JAMES & ASSOCIATES	2,550,000	176,127	XXX	171,002	0	0	0	0	0	0	171,002	0	5,126	5,126	0	XXX	L	
81685M-10-1	SEMTECH CORP.	07/10/2015	RAYMOND JAMES & ASSOCIATES	7,645,000	143,820	XXX	190,727	96,633	(3,744)	0	0	(3,744)	0	190,727	0	(46,907)	(46,907)	0	XXX	L	
835451-10-5	SONIC CORP.	07/10/2015	RAYMOND JAMES & ASSOCIATES	12,595,000	361,345	XXX	396,251	0	0	0	0	0	0	396,251	0	(34,906)	(34,906)	764	XXX	L	
860630-10-2	STIFEL FINANCIAL CORP.	07/10/2015	RAYMOND JAMES & ASSOCIATES	9,985,000	559,628	XXX	340,486	467,343	(168,915)	0	0	(168,915)	0	340,486	0	219,142	219,142	0	XXX	L	
899690-10-4	TUMI HOLDINGS INC.	07/10/2015	RAYMOND JAMES & ASSOCIATES	11,255,000	236,218	XXX	242,069	216,655	(22,684)	0	0	(22,684)	0	242,069	0	(5,851)	(5,851)	0	XXX	L	
90385D-10-7	ULTIMATE SOFTWARE GROUP INC.	07/10/2015	RAYMOND JAMES & ASSOCIATES	3,125,000	521,183	XXX	200,413	422,827	(263,830)	0	0	(263,830)	0	200,413	0	320,769	320,769	0	XXX	L	
911163-10-3	UNITED NATURAL FOODS INC.	07/10/2015	RAYMOND JAMES & ASSOCIATES	4,515,000	287,250	XXX	313,425	0	0	0	0	0	0	313,425	0	(26,174)	(26,174)	0	XXX	L	
930427-10-9	WAGeworks INC.	07/10/2015	RAYMOND JAMES & ASSOCIATES	11,235,000	464,144	XXX	555,530	428,745	(109,607)	0	0	(109,607)	0	555,530	0	(91,386)	(91,386)	0	XXX	L	
97717P-10-4	WISDOMTREE INVESTMENTS INC.	07/10/2015	RAYMOND JAMES & ASSOCIATES	33,590,000	729,602	XXX	464,710	427,457	(83,857)	0	0	(83,857)	0	464,710	0	264,892	264,892	4,869	XXX	L	
64705A-10-0	ICON PLC	07/10/2015	RAYMOND JAMES & ASSOCIATES	5,748,000	377,571	XXX	163,312	247,964	(140,275)	0	0	(140,275)	0	163,312	0	214,258	214,258	0	XXX	L	
N47279-10-9	INTERXION HOLDING NV	07/10/2015	RAYMOND JAMES & ASSOCIATES	11,845,000	330,807	XXX	313,368	241,412	(21,699)	0	0	(21,699)	0	313,368	0	17,438	17,438	0	XXX	L	
909999 - Common Stocks - Industrial and Miscellaneous (Unaffiliated)					20,941,960	XXX	15,894,919	11,896,681	(3,813,443)	0	0	(3,813,443)	0	15,894,919	0	5,047,041	5,047,041	32,543	XXX	XXX	
Common Stock - Mutual Funds																					
00768D-61-6	ALPHA OPPORTUNISTIC ALTERN-I	07/08/2015	US Bank	243,183,640	2,402,654	XXX	2,664,981	2,468,314	196,667	0	0	196,667	0	2,664,981	0	(262,327)	(262,327)	0	XXX	L	
89833W-13-9	COLLINS ALTERNATIVE SOL-INS	07/08/2015	US Bank	250,256,020	2,517,576	XXX	2,711,527	2,682,745	28,782	0	0	28,782	0	2,711,527	0	(193,951)	(193,951)	111,527			

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE The Health Plan of the Upper Ohio Valley, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identi- fication	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Desig- nation or Market Indicator (a)

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

Schedule DB - Part A - Section 1

NONE

Schedule DB - Part B - Section 1

NONE

Schedule DB - Part D - Section 1

NONE

Schedule DB - Part D - Section 2

NONE

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 Description	2 Code	3 Date Acquired	4 Rate of Interest	5 Maturity Date	6 Book/Adjusted Carrying Value	7 Amount of Interest Due & Accrued	8 Amount Received During Year
<p>NONE</p>							
8699999 Total Cash Equivalents					0	0	0