

2016 Surplus Lines Late Renewal
WEST VIRGINIA OFFICES of the INSURANCE COMMISSIONER

**For License Year 6/1/2015 thru 5/31/2016
 & License Year 6/1/2016 thru 5/31/2017**
 (Please Print or Type)

Check appropriate box for license requested.

- Resident License
- Non-Resident

Demographic Information

① Soc. Security Number _ _ - _ - _		② Date of Birth		③ If assigned National Producer Number (NP#)	
④ Last Name JR./SR. etc			⑤ First Name		
⑥ Residence/Home Address (Physical Street)		⑦ City		⑧ State	
⑨ Zip or Foreign Country					
Applicant Email Address:					
⑩ Business Entity's Name					
⑪ Business Address (Physical Street)		⑫ P.O. Box	⑬ City	⑭ State	⑮ Zip or Foreign Country
⑯ Business Phone Number (include extension) () -		⑰ Business Fax Number () -	⑱ Business E-Mail Address		⑲ Business Web Site Address
⑳ Mailing Address		㉑ P.O. Box	㉒ City		㉓ State
㉔ Zip or Foreign Country					

Background Information

㉕ 1. Have you been convicted of a crime, had a judgment withheld or deferred, or are you currently charged with committing a crime, which has not been previously reported to this insurance department? Yes ___ No ___

Note: "Crime" includes a misdemeanor, a felony or a military offense.

You may exclude misdemeanor traffic citations and misdemeanor convictions or pending misdemeanor charges involving driving under the influence (DUI) or driving while intoxicated (DWI), driving without a license, reckless driving, or driving with a suspended or revoked license and juvenile offenses.

"Convicted" includes, but is not limited to, having been found guilty by verdict of a judge or jury, having entered a plea of guilty or nolo contendere or no contest, or having been given probation, a suspended sentence or a fine.

If you answer yes, you must attach to this application:

- a) a written statement explaining the circumstances of each incident,
- b) a copy of the charging document,
- c) a copy of the official document, which demonstrates the resolution of the charges or any final judgment.

If you have a felony conviction involving dishonesty or breach of trust, have you applied for written consent to engage in the business of insurance in your home state as required by 18 USC 1033? N/A ___ Yes ___ No ___

If so, was that consent granted? (Attach copy of 1033 consent approved by home state.) N/A ___ Yes ___ No ___

2. Have you been named or involved as a party in an administrative proceeding, including FINRA sanction or arbitration proceeding regarding any professional or occupational license or registration, which has not been previously reported to this insurance department? Yes ___ No ___

"Involved" means having a license censured, suspended, revoked, canceled, terminated; or, being assessed a fine, placed on probation, sanctioned or surrendering a license to resolve an administrative action. "Involved" also means being named as a party to an administrative or arbitration proceeding, which is related to a professional or occupational license or registration. "Involved" also means having a license or registration application denied or the act of withdrawing an application to avoid a denial. **INCLUDE** Any business so named because of your actions in your capacity as an owner, partner, officer or director, or member or manager of a Limited Liability Company. You may exclude terminations due solely to noncompliance with continuing education requirements or failure to pay a renewal fee.

If you answer yes, you must attach to this application:

- a) a written statement identifying the type of license and explaining the circumstances of each incident,
- b) a copy of the Notice of Hearing or other document that states the charges and allegations, and
- c) a copy of the official document which demonstrates the resolution of the charges or any final judgment.

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Background Information continued

3. Do you have a child support obligation in arrearage, which has not been previously reported to this insurance department? Yes ___ No ___

If you answer yes,

a) by how many months are you in arrearage? _____ Months

b) are you currently subject to and in compliance with any repayment agreement? Yes ___ No ___

c) are you the subject of a child support related subpoena/warrant? Yes ___ No ___

4. In response to a "yes" answer to one or more of the Background Questions for this renewal application, are you submitting document(s) to the NAIC/NIPR Attachments Warehouse? N/A ___
Yes ___ No ___

If you answer yes

Will you be associating (linking) previously filed documents from the NAIC/NIPR Attachments Warehouse to this application? Yes ___ No ___

Note: If you have previously submitted documents to the Attachments Warehouse that are intended to be filed with this renewal application, you **must** go to the Attachments Warehouse and associate (link) the supporting document(s) to this application based upon the particular background question number you have answered yes to on this application. You will receive information in a follow-up page at the end of the application process, providing a link to the Attachment Warehouse instructions

Applicant's Certification and Attestation

- 26) The Surplus Lines Licensee must read the following very carefully:
1. I hereby certify that, under penalty of perjury, all of the information submitted in this application and attachments is true and complete. I am aware that submitting false information or omitting pertinent or material information in connection with this application is grounds for license revocation or denial of the license and may subject me to civil or criminal penalties.
 2. Unless provided otherwise by law or regulation of the jurisdiction, I hereby designate the Commissioner, Director or Superintendent of Insurance, or other appropriate party in each jurisdiction for which this application is made to be my agent for service of process regarding all insurance matters in the respective jurisdiction and agree that service upon the Commissioner, Director or Superintendent of Insurance, or other appropriate party of that jurisdiction is of the same legal force and validity as personal service upon myself.
 3. I further certify that I grant permission to the Commissioner, Director or Superintendent of Insurance, or other appropriate party in each jurisdiction for which this application is made to verify information with any federal, state or local government agency, current or former employer, or insurance company.
 4. I further certify that, under penalty of perjury, a) I have no child-support obligation, b) I have a child-support obligation and I am currently in compliance with that obligation, or c) I have identified my child support obligation arrearage on this application.
 5. I authorize the jurisdictions to which this application is made to give any information concerning me, as permitted by law, to any federal, state or municipal agency, or any other organization and I release the jurisdictions and any person acting on their behalf from any and all liability of whatever nature by reason of furnishing such information.
 6. I acknowledge that I understand and will comply with the insurance laws and regulations of the jurisdictions to which I am applying for licensure.
 7. I hereby certify that upon request, I will furnish the jurisdiction(s) to which I am applying, certified copies of any documents attached to this application or requested by the jurisdiction(s).

SURPLUS LINES RENEWAL AMOUNT: \$500.00 (payable to WV Offices of the Insurance Commissioner)

Attached is the \$500.00 fee (\$200.00 renewal, plus \$100.00 late fee for 6/1/2015 thru 5/31/2016 license year and \$200 renewal for 6/1/2016 thru 5/31/2017 license year) for renewal of my West Virginia Surplus Lines license.

RENEWAL LICENSE PRINT – After a renewal fee has been received and posted, the renewed license may be printed at www.wvinsurance.gov, SBS Links, SBS Connect/License Print. If the Expiration date indicates 5/31/2017, the renewal payment was received.

Signature: _____ Date: _____

Full Legal Name (Printed or Typed): _____

Mail Late Renewal form and fee to:

Regular Mail:

WVOIC – Agents Licensing & Education
PO Box 50541
Charleston WV 25305-0541

Overnight Mail:

WVOIC – Agents Licensing & Education
900 Pennsylvania Avenue, 7th Fl
Charleston WV 25302

*** After 5-31-2016, the 2016 Surplus Lines Late Renewal Form will not be accepted. Licenses with a 5-31-2016 non-renewal date will be considered inactive if application and payment have not been received by 5-31-2016.**